FEDERAL BUREAU OF INVESTIGATION
FOI/PA
DELETED PAGE INFORMATION SHEET
FOI/PA# 1589077-000

Total Deleted Page(s) = 32
Page 8 ~ b6; b7C; OTHER - Sealed;
Page 9 ~ b6; b7C; OTHER - Sealed;
Page 22 ~ OTHER - Sealed;
Page 24 ~ OTHER - Sealed;
Page 26 ~ OTHER - Sealed;
Page 28 ~ OTHER - Sealed;
Page 30 ~ OTHER - Sealed;
Page 32 ~ OTHER - Sealed;
Page 35 ~ b6; b7C; OTHER - Sealed;
Page 277 ~ b6; b7C;
Page 278 ~ b6; b7C;
Page 279 ~ b6; b7C;
Page 280 ~ b6; b7C;
Page 282 ~ b3; b6; b7C;
Page 283 ~ b3;
Page 284 ~ b3; b6; b7C;
Page 285 ~ b3; b6; b7C;
Page 287 ~ Duplicate;
Page 289 ~ Duplicate;
Page 290 ~ Duplicate;
Page 302 ~ Duplicate;
Page 303 ~ Duplicate;
Page 320 ~ Duplicate;
Page 322 ~ Duplicate;
Page 325 ~ Duplicate;
Page 327 ~ Duplicate;
Page 329 ~ Duplicate;
Page 331 ~ Duplicate;
Page 333 ~ Duplicate;
Page 336 ~ Duplicate;
Page 338 ~ Duplicate;
Page 340 ~ Duplicate;

XXXXXXXXXXXXXXXXXXXXXXX
X Deleted Page(s) X
X No Duplication Fee X
X For this Page X
XXXXXXXXXXXXXXXXXXXXXXX

FD-340a (Rev. 11-12-89)

(Title) _____

(File No.) _____

Item	Date Filed	To be returned Yes	To be returned No		Disposition
1	8/17/94		✓		
2	" "		✓	orig. FD-597	
3	" "		✓	photos 1095 for	
4	" "		✓		
5	" "		✓		
6	8/25/94		✓		
7	" "		✓		
8	8/29/94		✓	SA orig. notes re:	
9	9/28/94		✓	CA Drivers License photos for	
10	10-3-94		✓	SA orig. notes re: on 9-8-94	
11	10/5/94		✓	Pkg. copies of FD-192 for copy of FD-597	
12	10/19/94		✓	SA orig. notes re: SUB	
13	10-25-94		✓	SA orig. notes re:	
14	" "		✓	FD-597 for Items returned for	
15	" "		✓	SA orig. notes re: on 10-21-94	
16	11-16-94		✓	SA orig. notes re: on 10-21-94	
17	" "		✓	SA orig. notes re: on 10-21-94	

b6
b7C
OTHER Sealed

[redacted]

b6
b7C

AUG 12 19[redacted]

FBI — SAC [redacted]

29B-SF-111342-1A[illegible]

FD-340a (Rev. 11-12-89)

(Title) _____

(File No.) _____

Item	Date Filed	To be returned Yes	To be returned No		Disposition
18	12/16/94		/	SA [redacted] Surveillance notes re: [redacted] on 10-21-94	b6 b7C OTHER Sealed
19	9/18/95		X	Pkg. copies of FD-192 for: [redacted] (Returned).	
20	9/18/95		X	Pkg. copies of FD-192 for: [redacted] Registered mail Receipt.	

[redacted] b6 b7C

NOV 1 [redacted]

#2

[redacted]

29B-SF-111362-1A

FD-340 (Rev.8-18-89)

Universal File Case Number 29B-SF-111342

Field Office Acquiring Evidence SF

Serial # of Originating Document

Date Received [redacted]

From SA [redacted]
(Name of Contributor)

FBI
(Address of Contributor)

SAN JOSE, CA
(City and State)

By [redacted]
(Name of Special Agent)

b6
b7C
OTHER Sealed

To Be Returned ☐ Yes ☑ No
Receipt Given ☐ Yes ☑ No
Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)
Federal Rules of Criminal Procedure
☐ Yes ☑ No

Title:

Reference:
(Communication Enclosing Material)

Description: ☑ Original ~~notes re interview of~~

[redacted]

b6
b7C
OTHER Sealed

29B-SF-111342-1A (1)

FD-340 (Rev.8-18-89)

Universal File Case Number 29B-SF-111342

Field Office Acquiring Evidence SF

Serial # of Originating Document _____

Date Received _____ [redacted]

From SA [redacted]
(Name of Contributor)

FBI
(Address of Contributor)

SAN JOSE, CA
(City and State)

By [redacted]
(Name of Special Agent)

b6
b7C
OTHER Sealed

To Be Returned ☐ Yes ☑ No
Receipt Given ☐ Yes ☑ No
Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)
Federal Rules of Criminal Procedure
☐ Yes ☑ No

Title:

Reference: _____
(Communication Enclosing Material)

Description: ☐ Original ~~notes re interview of~~

ORIGINAL FD-597 [redacted]

[redacted]

b6
b7C
OTHER Sealed

29B-SF-111342-1A(2)

FD-597 (Rev. 3-29-84)

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION
Receipt for Property Received/Returned/Released/Seized

On (date) [redacted]

29B-SF-111342

item(s) listed below were:
- ☐ Received From
- ☐ Returned To
- ☐ Released To
- ☒ Seized

b6
b7C
OTHER Sealed

(Name) [redacted]
(Street Address)
(City)

Description of Item(s): OTHER Sealed

[redacted]

Nothing Follows

b6
b7C

Received by: SA [redacted] (Signature)

Received from [redacted]

FD-597 (Rev. 3-29-84)

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION
Receipt for Property Received/Returned/Released/Seized

On (date) [redacted]

item(s) listed below v b6 : b7C
- ☐ Received From
- ☐ Returned To
- ☐ Released To
- ☒ Seized

OTHER Sealed

29B-SF-111342

(Name) [redacted]

(Street Address)

(City)

Description of Item(s):

OTHER Sealed

Nothing Follows

Received by: SA [redacted]

Received from [redacted]

b6
b7C

FD-597 (Rev. 3-29-84)

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION
Receipt for Property Received/Returned/Released/Seized

On (date) [redacted]

item(s) listed below were:
- ☐ Received From
- ☐ Returned To
- ☐ Released To
- ☒ Seized

b6
b7C
OTHER Sealed

29B-SF-111342

(Name) [redacted]
(Street Address)
(City)

Description of Item(s):

OTHER Sealed

Nothing Follows

b6
b7C

Received by: SA [redacted] **Received from** [redacted]

(Signature) (Signature)

FD-597 (Rev. 3-29-84)

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION
Receipt for Property Received/Returned/Released/Seized

On (date) [redacted] item(s) listed below were:

29B-SF-111342

- ☐ Received From
- ☐ Returned To
- ☐ Released To
- ☒ Seized

b6
b7C
OTHER Sealed

(Name) [redacted]

(Street Address)

(City)

Description of Item(s):

OTHER Sealed

Nothing Follows

b6
b7C

Received by: SA [redacted] **Received from** [redacted]

FD-597 (Rev. 3-29-84)

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION
Receipt for Property Received/Returned/Released/Seized

On (date) [redacted]

29B-SF-111342

item(s) listed below were:
- ☐ Received From
- ☐ Returned To
- ☐ Released To
- ☒ Seized

b6
b7C
OTHER Sealed

(Name) [redacted]
(Street Address)
(City)

Description of Item(s):

OTHER Sealed

Nothing Follows

Received by: SA [redacted]
(Signature)

Received from [redacted]
(Signature)

b6
b7C

FD-340 (Rev.8-18-89)

Universal File Case Number 29B-SF-111342

Field Office Acquiring Evidence SF

Serial # of Originating Document _____

Date Received _____

From SA [redacted]
(Name of Contributor)

FBI
(Address of Contributor)

SAN JOSE, CA
(City and State)

By [redacted]
(Name of Special Agent)

b6
b7C
OTHER Sealed

To Be Returned ☐ Yes ☑ No
Receipt Given ☐ Yes ☑ No
Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)
Federal Rules of Criminal Procedure
☐ Yes ☑ No

Title:

Reference: _____
(Communication Enclosing Material)

Description: ~~☐ Original notes re interview of~~

PHOTO LOGS FOR [redacted]

b6
b7C
OTHER Sealed

29B-SF-111342-1A(3)

FD-674 (1-17-85)

PHOTOGRAPHER [redacted]

DATE [redacted] ROLL # [redacted]

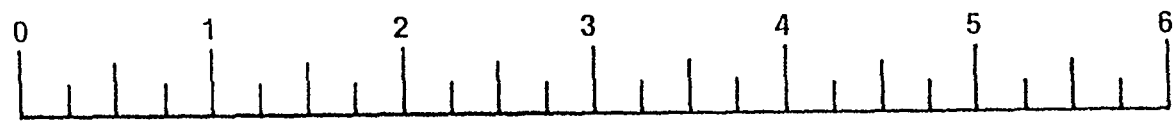
Month Day Year

b6
b7C
OTHER Sealed

LOCATION [redacted]

CASE FILE 29B-SF-111342

AGENCY FBI
SJRA/2



29B-SF-111342-1A(3)

FBI DOJ

CAMERA _____

FILM : ASA [ ]

ROLL No. [ ]

LENS - Normal - (N)
Wide - W
Macro - M
Tele. - T

LIGHT - Available - A
Elec.Strobe - E
Flashbulb - (F)

b6
b7C
OTHER Sealed

Photo No.	Lens	Light	SS	f - stop	Description
1					
2					
3					
4					
5					
6					
7					
8					
9					
10					
11					
12					
13					
14					
15					
16					
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25					
26					
27					
28					
29					
30					
31					
32					
33					
34					
35					
36					

Photo Log Sketch

REMARKS

FD-674 (1-17-85)

PHOTOGRAPHER _____

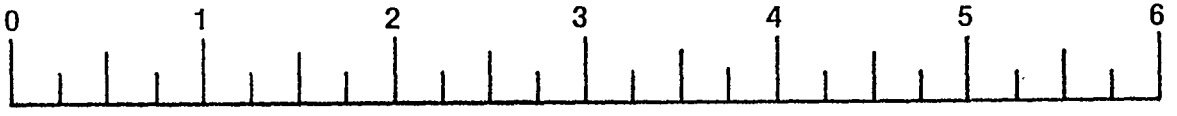
DATE _____ ROLL # _____
Month Day Year

b6
b7C
OTHER Sealed

LOCATION _____

CASE FILE 29B-SF-111342

AGENCY FBI
SJRA/2



CAMERA Fuji

FILM : ASA

ROLL No.

LENS - Normal - N
Wide - W
Macro - M
Tele. - T

LIGHT - Available - A
Elec.Strobe - E
Flashbulb - F

OTHER Sealed

Photo No.	Lens	Light	SS	f - stop	Description

Photo Log Sketch

REMARKS

FD-340 (Rev.8-18-89)

Universal File Case Number 29B-SF-111342

Field Office Acquiring Evidence SF

Serial # of Originating Document _____

Date Received _____

From SA [redacted]
(Name of Contributor)

FBI
(Address of Contributor)

SAN FRANCISCO
(City and State)

By [redacted]
(Name of Special Agent)

b6
b7C
OTHER Sealed

To Be Returned ☐ Yes ☑ No
Receipt Given ☐ Yes ☑ No
Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)
Federal Rules of Criminal Procedure
☐ Yes ☑ No

Title:

Reference: _____
(Communication Enclosing Material)

Description: ~~Original notes re interview of~~ [redacted]

b6
b7C
OTHER Sealed

29B-SF-111342-1A (4)

D-613 (5-5-82)

PHOTO IDENTIFICATION

Subject or
Name (Last, First, Middle)

Office File #
29B-SF-111342-1A(4)

Nationality

Race

b6
b7C
OTHER Sealed

Date of Birth	Sex	Height	Weight	Eyes	Hair	Date of Photo

SSAN

FBI/INS No.

Scars, Marks, Tattoos

Location

Photographer

0221620511 6-8

FD-613 (5-5-82)

PHOTO IDENTIFICATION

Subject or Name (Last, First, Middle)

[redacted]

b6
b7C
OTHER Sealed

Office File #	Bufile #
29B-SF-111342-1A(4)	

Nationality	Race

Date of Birth	Sex	Height	Weight	Eyes	Hair	Date of Photo

SSAN	FGPT/NCIC Class	FBI No.

Scars, Marks, Tattoos

Location

[redacted]

Photographer [redacted]

0221620511 6-8

FD-613 (5-5-82)

PHOTO IDENTIFICATION

Subject or
Name (Last, First, Middle)

Office File #
29B-SF-111342-1A64

Bufile #

b6
b7C
OTHER Sealed

Nationality

Race

Date of Birth	Sex	Height	Weight	Eyes	Hair	Date of Photo

SSAN	FGPT/NCIC Class	FBI No.

Scars, Marks, Tattoos

Location

Photographer

0231720401 9-8

FD-613 (5-5-82)

PHOTO IDENTIFICATION

Subject or
Name (Last, First, Middle)

[redacted]

b6
b7C

Office File #	Bufile #
29B-SF-111342-1A(U)	OTHER Sealed

Nationality	Race

Date of Birth	Sex	Height	Weight	Eyes	Hair	Date of Photo
[redacted]						

SSAN	FGP/NCIC Class	FBI No.

Scars, Marks, Tattoos	Location
	[redacted]

Photographer [redacted]

0221620511 6-8

FD-613 (5-5-82)

PHOTO IDENTIFICATION

Subject or Name (Last, First, Middle)

b6
b7C
OTHER Sealed

Office File #	Bufile #
29B-SF-111342-1ACH	
Nationality	Race

Date of Birth	Sex	Height	Weight	Eyes	Hair	Date of Photo

SSAN	FGPT/NCIC Class	FBI No.

Scars, Marks, Tattoos

Photographer

02317204019-8

FD-613 (5-5-82)

PHOTO IDENTIFICATION

Subject or
Name (Last, First, Middle)

b6
b7C
OTHER Sealed

Office File # 29B-SF-111342-1A44

Bufile #

Nationality

Race

Date of Birth	Sex	Height	Weight	Eyes	Hair	Date of Photo

SSAN

FGPT/NCIC Class

FBI No.

Scars, Marks, Tattoos

Location

Photographer SA

0221620511 6-8

FD-340b (Rev. 8-18-89)

Universal File Case Number 29B-SF-111342

Field Office Acquiring Evidence SF

Serial # of Originating Document _____

Date Received _____

From _____
(Name of Contributor)

FBI
(Address of Contributor)
SAN JOSE, CA

By _____
(Name of Special Agent)

To Be Returned ☐ Yes ☑ No

Receipt Given ☐ Yes ☐ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e), Federal Rules of Criminal Procedure

☐ Yes ☑ No

Title:

Reference: _____
(Communication Enclosing Material)

Description: ☑ Original notes ~~re interview of~~

29B-SF-111342-1A(5)

b6
b7C
OTHER Sealed

b6
b7C
OTHER Sealed

FD-340b (Rev. 8-18-89)

Universal File Case Number 29B-SF-111342

Field Office Acquiring Evidence SF

Serial # of Originating Document _____

Date Received 8/4/94

From [redacted] b6 b7C
(Name of Contributor)
Silicon Valley Bank
(Address of Contributor)
San Jose CA

By [redacted]
(Name of Special Agent)

To Be Returned ☐ Yes ☑ No

Receipt Given ☐ Yes ☑ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e), Federal Rules of Criminal Procedure

☐ Yes ☑ No

Title: [redacted]
Silicon Valley Bank - victim;
FIF;
00: SF

Reference: _____ b6 b7C
(Communication Enclosing Material)

Description: ☑ Original notes re interview of

[redacted]

29B-SF-111342-1A(8)

111342

Early April

[redacted] dob [redacted]

[redacted]

- [redacted] took the agreement to look it over

- [redacted] came back with a list which SUB found unreasonable, [redacted] said that SUB would need his cooperation in the class action lawsuit, inferred that if his demands were not met he would contact an attorney for representation. b6 b7C

SUB understood that [redacted] could be a detriment in the class action lawsuit if [redacted] didn't get a better severance deal.

Both parties had knowledge of the civil suit.

[redacted] did not get the agreement he wanted.

[redacted] wanted SUB to prepay his insurance policy. b6 b7C

[redacted] administered the insurance policy records

Total of 4 deferred comp agreements

[redacted] SVB acted on faith that [redacted] had acted in good faith.

b6
b7C

SVB denied that any authority was given to [redacted] to prepay the insurance fees.

Safe deposit
tax
storage
check book

4.00

29B-SF-111342-1A
(8)

FD-340 (Rev. 8-18-89)

Universal File Case Number 29B-SF-111342

Field Office Acquiring Evidence SF

Serial # of Originating Document _____

Date Received 9/94

From CA DMV
(Name of Contributor)

SACRAMENTO, CA
(Address of Contributor)

_____
(City and State)

By [redacted]
(Name of Special Agent)

b6
b7C

To Be Returned ☐ Yes ☒ No
Receipt Given ☐ Yes ☒ No
Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)
Federal Rules of Criminal Procedure
☐ Yes ☒ No

Title:

Reference: _____
(Communication Enclosing Material)

Description: ~~Original notes re interview of~~

CA DRIVERS LICENSE PHOTOS FOR [redacted]

b6
b7C

29B-SF-111342-1A(9)

FD-340b (Rev. 8-18-89)

Universal File Case Number 29B-SF-111342

Field Office Acquiring Evidence SF

Serial # of Originating Document _____

Date Received 9-8-94

From [redacted]

[redacted]

(Address of Contributor)

SAN FRANCISCO

(City and State)

By [redacted]

(Name of Special Agent)

b3
b6
b7C

To Be Returned ☐ Yes ☑ No

Receipt Given ☐ Yes ☑ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e), Federal Rules of Criminal Procedure

☐ Yes ☑ No

Title:

Reference: _____

(Communication Enclosing Material)

Description: ☑ Original notes re interview of

[redacted] ON 9-8-94

b3
b6
b7C

29B-SF-111342-1A(10)

FD-340b (Rev. 8-18-89)

Universal File Case Number 29B-SF-111342

Field Office Acquiring Evidence _____

Serial # of Originating Document _____

Date Received 10/4/94

From _____
(Name of Contributor)

_____
(Address of Contributor)

_____

By SA [redacted]
(Name of Special Agent)

b6
b7C

To Be Returned ☐ Yes ☒ No

Receipt Given ☐ Yes ☒ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e), Federal Rules of Criminal Procedure

☐ Yes ☒ No

Title:

Reference: _____
(Communication Enclosing Material)

Description: ☐ Original notes re interview of

_____

Pkg. copies of FD-192 for [redacted]

b6
b7C
OTHER Sealed

Copy of FD-597 ☐

29B-SF-111342 1A(11)

CHAIN - OF - CUSTODY

	DATE	TIME
RECEIVED BY: [redacted] REASON: COLLE	8/10/94	12:50 PM
RECEIVED BY [redacted] REASON: Storage	8/11/94	9:30A
RECEIVE [redacted] REASON: Give to SA	9/9/94	11:40A
RECEIVED BY [redacted] REASON: DOWNLOAD	9/9/94	11:41A
RECEIVED BY [redacted] REASON: Storage	9/12/94	3:30P
RECEIVED BY [redacted] REASON: TO BE RETURNED	9-30-94	3:15P
RECEIVED BY: [redacted] REASON: RETURNED	10-3-94	4:00P
RECEIVED BY: REASON:		
RECEIVED BY: REASON:		
RECEIVED BY: REASON:		
RECEIVED BY: REASON:		
RECEIVED BY: REASON:		
RECEIVED BY: REASON:		

b6
b7C

FD-597 (Rev. 3-29-84)

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION
Receipt for Property Received/Returned/Released/Seized

Page 1 of 1

On (date) ~~September 30~~ OCTOBER 3, 1994

item(s) listed below were:
- ☐ Received From
- ☒ Returned To
- ☐ Released To
- ☐ Seized

(Name) [redacted]

(Street Address) One Embarcadero Center

(City) San Francisco, CA

For [redacted]

b6
b7C
OTHER Sealed

Description of Item(s): [redacted]

Received by: [redacted]

Received from [redacted]
(Signature)

b6
b7C

FD-340b (Rev. 8-18-89)

Universal File Case Number 29B-SF-111342

Field Office Acquiring Evidence _____

Serial # of Originating Document _____

Date Received 8/4/94

From [redacted] b6 b7C
(Name of Contributor)

Silicon Valley Bank
(Address of Contributor)

By [redacted]
(Name of Special Agent)

To Be Returned ☐ Yes ☑ No

Receipt Given ☐ Yes ☑ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e), Federal Rules of Criminal Procedure

☐ Yes ☑ No

Title:

Reference: _____
(Communication Enclosing Material)

Description: ☑ Original notes re interview of

[redacted] b6 b7C SVB

29B-SF-111342-1A(12)

[redacted] informed [redacted] that he was going to be fired.

June '93 had a conversation where all senior employees were told that no further deferred comp in 1994.

b6
b7C

In negotiations for seperation agreement, [redacted] specifically requested SVB to make a '94 payment on the deferred comp.

FD-340b (Rev. 8-18-89)

Universal File Case Number 29B-SF-111342

Field Office Acquiring Evidence SF

Serial # of Originating Document _____

Date Received 8/4/94

From [redacted]
(Name of Contributor)
[redacted] Silicon Valley Bank
(Address of Contributor)

By [redacted]
(Name of Special Agent)

b6
b7C

To Be Returned ☐ Yes ☑ No

Receipt Given ☐ Yes ☑ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e), Federal Rules of Criminal Procedure

☐ Yes ☑ No

Title:

Reference: _____
(Communication Enclosing Material)

Description: ☑ Original notes re interview of

[redacted]

b6
b7C

29B-SF-111342-1A13

[redacted] dob [redacted]
[redacted] - Fall of 1990

b6
b7C

[redacted] met in Nebraska
- Accounting firm of [redacted]
- [redacted] was the boss
- worked together for 2 yrs

[redacted] went to LA in 1988 [redacted]
[redacted] - took a job with [redacted]
[redacted] - former board member SVB

b6
b7C

~~June 1990~~ [redacted] offered
a job in [redacted] with SVB

b6
b7C

- Friends socialized outside of work (Good)

- [redacted] managerial style and [redacted] clashed.
- [redacted] could not respond to [redacted] demands
- Early 1994 became ill ([redacted])
- [redacted] told [redacted] that a discussion had taken place in late March.
- [redacted] knew [redacted] was negotiating a settlement but did not know the details

b6
b7C

[redacted] thought it was good for [redacted] and SVB had taken seperate ways

b6
b7C

Files in [redacted] office cannot be found, but they were all in [redacted] office. b6 b7C

[redacted] did not keep any materials for [redacted] b6 b7C

[redacted] put files into boxes before his departure. [redacted] was present when the boxes were packed, but not when they were taken away. b6 b7C

Actual movement of boxes was over the weekend. Packing was on Friday

Packed up two filing cabinets, moved one cabinet to another area of the bank, presumed it was empty

[redacted] was not sure of the date of the packing. b6 b7C

Had a party at 4:00 - 4:30 on Thursday in a parking lot before [redacted] departure. (cake) b6 b7C

[redacted] loaded his automobile the day he left with his personal belongings b6 b7C

[redacted] thought [redacted] was a good boss b6 b7C
[redacted] - didn't delegate well
- short temper

[redacted] was an obstacle in the bank that b6 b7C
other employees had to work around. (slowed efficiency)

[redacted] characterized [redacted] departure as b6 b7C
(vanilla) non-hostile non-amicable

[redacted] doesn't have further employment ([redacted] b6 b7C
[redacted] is in the area)

[redacted] intends to keep conversation confidential b6 b7C

[redacted] took boxes from his office, b6 b7C
Other people in that section complain about missing files.

[redacted] Supervised 3 people directly b6 b7C

Deferred Comp - bookwork was in [redacted] b6 b7C
office - cannot be found.

Has no knowledge of files missing
from his office or any other employees

[redacted] told [redacted] that he had to get rid of material from his previous employer to make room for the new stuff. b6 b7C

[redacted] has travelled to the Midwest visiting family in [redacted] Planned on trips to [redacted] b6 b7C

- Purchased a property in [redacted] b6 b7C

- [redacted] described [redacted] home as nice. but not luxurious. b6 b7C

- Lived within his means.

- [redacted] consulted with the bank Tax professional. b6 b7C

- Not aware of any illegalities ongoing at SVB. - or past illegalities.

[redacted] b6 b7C
- conservative
- bright
- arrogant (could be one view)
- had no other confidants in the bank
- intimidating
- temper

- [redacted] said that some finance employees may have feared for their jobs if they crossed [redacted] b6 b7C

- has not been to [redacted] house in the last 4 mos. b6 b7C

- Always invited in. 2 Beds upstairs possibly 2 downstairs

- House remodeled in Summer of 1992

- presumption that an upstairs bedroom is his office. Garage was used for storage

- Can now get both vehicles in the garage 3 cars. Ford Explorer Jeep Cherokee Maxima

Timshare at [redacted] b6 b7C

FD-340b (Rev. 8-18-89)

Universal File Case Number 29B-SF-111342

Field Office Acquiring Evidence SF

Serial # of Originating Document _____

Date Received 10-3-94

From _____
(Name of Contributor)

_____
(Address of Contributor)

By [redacted]
(Name of Special Agent)

b6
b7C

To Be Returned ☐ Yes ☑ No

Receipt Given ☐ Yes ☑ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e), Federal Rules of Criminal Procedure

☐ Yes ☑ No

Title:

Reference: _____
(Communication Enclosing Material)

Description: ☑ Original notes re [redacted] AND COPIES

FD-597 FOR ITEMS RETURNED TO

[redacted]

[redacted]

b6
b7C

29B-SF-111342-1A(14)

FD-597 (Rev. 3-29-84)

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION
Receipt for Property Received/Returned/Released/Seized

On (date) ~~September 30~~ OCTOBER 3, 1994

item(s) listed below were:
- ☐ Received From
- ☐ Returned To
- ☒ Released To
- ☐ Seized

(Name) [redacted] b6 b7C

(Street Address) One Embarcadero Center

(City) San Francisco, CA

For [redacted]

Description of Item(s):

[redacted] OTHER Sealed

Received by: [redacted]

Received from [redacted] b6 b7C

FD-340b (Rev. 8-18-89)

Universal File Case Number 29B-SF-111342

Field Office Acquiring Evidence SF

Serial # of Originating Document _____

Date Received 10-21-94

From [redacted] b6 b7C
(Name of Contributor)

2232 N. 1ST ST.
(Address of Contributor)

SAN JOSE, CA

By [redacted]
(Name of Special Agent)

To Be Returned ☐ Yes ☑ No

Receipt Given ☐ Yes ☑ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e), Federal Rules of Criminal Procedure

☐ Yes ☑ No

Title:

Reference: _____
(Communication Enclosing Material)

Description: ☑ Original notes re interview of

[redacted] ON 10-21-94 & b6 b7C

COPY OF CHECK W/ [redacted] SIGNATURES

29B-SF-111342-1A(S)

150,000.00 @
37,515.84 see this tab for copy of cancelled check
81,345.28 see this tab for copy of cancelled check

= 268,861.12
= Total Advance Premium payments

MASSACHUSETTS MUTUAL
INSURANCE COMPANY
FOR DEPOSIT ONLY

OT '93 27 1
6551
1210-0037-4 1
8826858

045167

Silicon Valley Bank
2232 North First Street
San Jose, CA 95131
(408) 383-5242

90-4039
1211

CHECK DATE	CHECK NO.
09/22/93	45167

PAY THIS AMOUNT @
****$150,000.00****

One Hundred Fifty Thousand and 00/100

Pay to the Order of

Massachusetts Mutual Life

8826858

"045167" "121140399" 0121346690 966 "00150000000"

b6
b7C

[redacted] 21-94

b6
b7C

2232 N. 1ST ST
SJ [redacted]

EMPLOYED [redacted] [redacted] HIRED.

b6
b7C

WAS [redacted] WHEN WORKED FOR [redacted] LAST 4 YRS REPORTED TO [redacted]

RELATIONSHIP WAS OVERALL GOOD. WORKED CLOSE TOGETHER EARLY IN HER EMPLOYMENT. SHE CAME TO SVB W/ [redacted] FROM PREVIOUS EMPLOYMENT. WAS A SOCIAL RELATIONSHIP WITH THE TWO FAMILIES. AS WELL AS PROFESSIONAL. HIS MOOD PRETTY MUCH SET MOOD OF THE DEPT. HE WOULD COMPENSATE TO THE DEPT FOR HIS OUTBURSTS OR FOUL MOODS. HE LET THEM KNOW HOW THE REST OF THE BANK WAS DOING THRU HIM. HE SORT OF BUILT A WALL AROUND THE DEPT. DEPT KEPT TO THEMSELVES, SO AS NOT TO INCURRE [redacted] WRATH.

b6
b7C

WHEN [redacted] ARRIVED SAW A IN [redacted] CLICKS STARTED TO FORM. PREVIOUSLY WAS A TIGHT GROUP. MORALE STARTED TO DETERIORATE. SPECIAL FAVORS WERE GIVEN TO A FEW, GAME TICKETS, RAISES, BONUS'.

b6
b7C

AT TIME OF CHECK 045167 WAS [redacted] WAS ABOUT TO GO ON [redacted] WAS TO TAKE OVER [redacted] TO SEE IF NEEDED FULL TIME EMPLOYEE OR CONTINUE WITH A PART TIME, AND A KIND OF TRANSITION TO [redacted]

b6
b7C

IT WAS BROUGHT TO HER ATTN: THAT DOCUMENTATION WAS MISSING FOR SIGNATURE OF THE CHECK. [redacted] GAVE CHECK TO [redacted] TO SIGN. [redacted] SHOWED HER THE STATEMENT THAT THIS WAS FOR DEFFERED COMPENSATION. SO HAD INVOICE, REQUEST FOR PAYMENT, AND INVOICE LEDGER CARD. [redacted] HAD SIGNED AUTHORIZATION.

b6
b7C

[redacted] WAS NOT AWARE OTHERS HAD REFUSED TO SIGN THE CHECK.

b6
b7C

AFTER [redacted] SIGNED THE CHECK IT WENT BACK TO EITHER [redacted], DOESN'T RECALL WHO EXACTLY

b6
b7C

NO LIMITS ON CHECK SIGNING AUTHORIZTION.

FD-340b (Rev. 8-18-89)

Universal File Case Number 29B-SF-111342

Field Office Acquiring Evidence SF

Serial # of Originating Document _____

Date Received 10-21-94

From [redacted] b6 b7C

[redacted]

By [redacted]
(Name of Special Agent)

To Be Returned ☐ Yes ☑ No

Receipt Given ☐ Yes ☑ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e), Federal Rules of Criminal Procedure

☐ Yes ☑ No

Title:

Reference: _____
(Communication Enclosing Material)

Description: ☑ Original notes re interview of

[redacted] on 10-21-94 b6 b7C

29B-SF-111342-1A(16)

[redacted] b6 b7C

[redacted] (SINCE HIRED W SVB [redacted])

2232 N. 1ST ST
SJ, CA 95131 — [redacted]

— BASICALLY IS [redacted] HIRED BY [redacted] KNEW [redacted] WAS LEAVING HER EMPLOY - SO ASKED HER TO COME TALK TO HIM.

SHE RESPECTED [redacted] AT TIME SINCE [redacted] b6 b7C HAD RESIGNED

BEEN IN 10-12 IN SILICON VALLEY

MET [redacted] AT [redacted] WHEN SHE WORKED THERE DIDN'T WORK DIRECTLY FOR HIM.

OFFERED HER JOBS TWICE BEFORE.

MET 84 WENT TO WORK @ [redacted] IN [redacted] b6 b7C

SAW HIM NUMEROUS TIMES AT BANKING FUNCTIONS.

WHEN [redacted] RESIGNED IN [redacted] CONTACTED [redacted] b6 b7C

SHE INTERVIEWED W/ [redacted]

REPORTED DIRECTLY TO [redacted]

WHEN SHE FIRST STARTED, AS A PERSON VERY CORDIAL EASY TO TALK TO, PERCEIVE [redacted] HAD DISAGREEMENT WITH UPPER MGT. HE WAS VOCAL ABOUT IT. THIS MADE [redacted] UNCOMFORTABLE BECAUSE SHE WANTED TO BE PART OF TEAM. APPARENT TO HER. RIGHT AWAY THAT THE DEPT FINANCE ~~HE~~ WAS NOT IN THE CONDITION SHE THOUGHT IT WOULD BE / SHOULD BE. EX: TOOK OVER [redacted] b6 b7C

[redacted], HAD A

COUPLE TEMPS – BILLS YEAR PAST DUE. SO SHE HIRED A FULL TIME PERSON – [redacted] WAS OK W/ THAT. [redacted] WAS ACCEPTING OF [redacted] EFFORTS TO IMPROVE THE FUNCTION & EFFICIENCY OF THE DEPT. THING WERE SO NON-STANDARD. [redacted] EXPRESSED HIS DISMAY TO [redacted], PROBABLY NOT [redacted] EXTORNARY #'S OF $K'S AFTER END OF MONTH. SOME THINGS [redacted] WAS DO EXACT BUT IN OTHERS NOT AT ALL. THINGS WERE OPERATIONALLY OUT OF BALANCE.

b6
b7C

[redacted] WERE HER TWO MAJOR AREAS OF RESPONSIBILITY

b6
b7C

NOT EFFICENTLY AUTOMATED – [redacted] WAS MORE EFFICENT @ A MUCH SMALLER INSTITUTION (AUTOMATION WISE)

[redacted] WANTED COMPLETE CONTROL BUT IN ACTUALITY HE DID NOT KNOW WHAT WAS GOING ON ESPECIALLY PERSONNEL WISE.

b6
b7C

[redacted] HAD A LARGE AMOUNT OF CONTROL – SHE HAD VERY SPECIFIC WAYS SHE DEMANDED THINGS BE DONE. WHEN SHE LEFT IT WAS VERY OBVIOUS THAT PEOPLE WORKING FOR HER DID THINGS HER WAY, BUT DID NOT KNOW WHY THEY WERE DOING IT.

b6
b7C

IT WAS GENERALLY PERCEIVED THAT [redacted] GROUP WAS ISOLATED FROM REST OF THE BANK.

b6
b7C

WHILE UNDER [redacted] – ACTS PAYABLE HAD A HORRIBLE REPUTATION WITHIN THE BANK. 90 DAYS WAS GOOD.

b6
b7C

WHEN [redacted] HIRED PAYROLL WAS IN [redacted] DEPT BUT IT WAS BEING RECONDONED. [redacted] WANTED TO KEEP IT ([redacted] WAS AMBIVALENT.

b6
b7C

WHEN [redacted] FIRST CAME ON JOB [redacted] WAS INTRODUCING PEOPLE - [redacted] TOLD [redacted] IF HE COULD IT'S WOULD FIRE [redacted] HAD VIOLENT CONFLICTS WITH [redacted] WORK PRODUCT OK BUT NOT SPECTACULAR. [redacted] WAS NO ALL THAT HAPPY W/ [redacted] TELLING HER THIS SO BLUNTLY ON HER FIRST DAY. b6 b7C

THE W-2 SITUATION (RELOCATION OF SENIOR MGT) WAS WHAT

[redacted] FELT SHE SHOULD HAVE GOTTEN [redacted] SIGNATURE FOR MASS MUTUAL 047721 CHECK. [redacted] HAD TO WALK HER THROUGH - FELT PRESSURE FROM [redacted] TO GET THIS DONE BEFORE END OF YEAR. [redacted] VERY DISORGANIZED WITH DEADLINES. *[redacted] SAID HE WOULD GET [redacted] APPROVAL FOR CHECK. [redacted] HAD HER "~~WASH~~" ~~THE~~ THE ASSEST/LIABILITY RELATED TO HIS OFFSET b6 b7C

DEFFERED COMPENSATION (MASS MUT.) [redacted] HAD TOLD THEM (SENIOR MGT) THAT FIRST OF YEAR NO MORE DEFERRED COMP.

046748 - WAS THE ~~RESIDUAL~~ FROM A ~~PREVIOUS~~ THE TWO PREVIOUS YEARS DEFFERED COMP FROM SHEARMAN IN AMOUT 37,515.84 - JUST TURNED AROUND AND ISSUED TO MASS MUTUAL. CHECK FROM SHEARSON WAS MADE PAYABLE TO BANK.

SIGNING AUTHORITY WAS NOT FOLLOWED IN THE DEPT AS BANK POLICY.

BECAUSE OF TIME CONSTRAINTS THE INTERVIEW WAS STOPPED AND AN AGREEMENT TO CONTINUE @ LATER DATE

FD-340b (Rev. 8-18-89)

Universal File Case Number 29B-SF-111342

Field Office Acquiring Evidence SF

Serial # of Originating Document _____

Date Received 10-21-94

From [redacted]

By [redacted]
(Name of Special Agent)

b6
b7C

To Be Returned ☐ Yes ☑ No

Receipt Given ☐ Yes ☑ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e), Federal Rules of Criminal Procedure

☐ Yes ☑ No

Title:

Reference: _____
(Communication Enclosing Material)

Description: ☑ Original notes re interview of

[redacted] ON 10-21-94

b6
b7C

29B-SF-111342-1A(17)

Silicon Valley Bank

[redacted]

b6
b7C

[redacted]

2262 North First Street
San Jose, CA 95131

[redacted]
Fax (408) 321-7475

[redacted]

b6
b7C

2262 N. 1ST ST
SJ, CA 95131 [redacted]

[redacted] W/ SVB

[redacted] HIRED [redacted] TO BE [redacted]

FINANAL ANALYSIST

OFFICIALLY DIRECTLY REPORTED TO [redacted] ALTHOUGH IN SOME INSTANCES REPORTED TO [redacted].

GOT ALONG W/ [redacted] PRETTY WELL. WASNT TOO AFRAID TO STAND UP TO HIM. THEY WOULD BANTER BACK & FORTH. [redacted] WOULD DRIVE THEM IN THE DEPT CRAZY WITH THE WAY HE HANDLED THINGS, AT TIMES. ~~IT~~ IT WAS A PERCEPTION THAT HE BELIEVED HE HAD EXPERTIZE IN EVERY AREA IN EXISTANCE. HE HAD A WAY TO TWIST THINGS AROUND TO HIS WAY OF THINKINGS.

[redacted] HAD A SENSE OF COMPASION

- SORT OF KNOW [redacted] BEFORE BEING HIRED HER. [redacted] HAD MET [redacted] WHEN SHE WAS WORKING FOR ANOTHER CO. WHEN SHE WAS [redacted] [redacted] WAS INTERVIEW FOR A CFO POSITION. WHEN [redacted] DECLINED [redacted] WORKED AS [redacted] FOR ≈ 8-10 MONTHS, BEFORE GOING TO SVB. [redacted] JOINED SVB ≈ 4 MONTHS AFTER [redacted]

b6
b7C

[redacted] - NO LIMIT FOR CHECK SIGNING AUTHORITY. SHE DOESNT BELIEVE THERE WAS A SIGNATURE CARD. WAS TOLD WHEN SHE ASKED ABOUT CK SIGNING AUTHORITY [redacted] TOLD HER SHE HAD AUTHORITY AS OFFICER OF BANK.

b6
b7C

[redacted] RECALLED AFTER LOOKING @ CHECK 038591 ONLY [redacted] BEING PAID [redacted] FEES. ONLY 3 [redacted] (INCLUDING [redacted] HAD BINDER ~~#~~ THAT HAD DIRECTOR ATTENDACE [redacted] PREPARED CHECK — [redacted] SIGNED IT BECAUSE SAME AS PRIOR PRACTICE. [redacted] WAS PRIMARY SIGNER OF CHECKS [redacted] WAS HER BACKUP. WAS BACK UP WHEN SIGNED THIS CHECK. [redacted] HAD SHEARSON ACCT THAT ALL PREVIOUS CHECKS WENT TO JUST FOLLOWED SUIT

b6 b7C

[redacted] GAVE [redacted] CHECK 041696 TO SIGN FOR SHORELINE AMPHITHEATER

b6 b7C

NORMALLY [redacted] PREPARED CHECKS AND TOOK TO [redacted] IF [redacted] NOT THERE WOULD TAKE TO [redacted]

b6 b7C

CHECK 043440 — TO [redacted] SHE DID DO WORK - 1ST LINE OF TAX PREP BEFORE GOING TO AUDITORS. SOMETIMES HE WOULD BUY EQUIPMENT IN LIEU OF PAYMENT WHICH BYPASSED TAXATION. COMPUTER GENERATED CHECK SO PROBABLY CAME TO HER IN STACK.

b6 b7C

* [redacted] ~~ACCTS~~ ACCTS PAYABLE CHECK PROCESSED THRU COMPUTER. ~~CHECK~~ DIDN'T REALLY HAVE SUPPORT SENT BACK TO ACCT PAYABLE ASKING ABOUT SUPPORT - ACCT PAY SAID [redacted] HAD PUT THRU THE REQUEST. AT THAT TIME [redacted] WAS HANDLING [redacted] [redacted] REFUSE TO SIGN CHECK. [redacted] [redacted] NEED BOARD APPROVAL. # CHECK FOR 4 ≈ 280,000, — 300,000 FAR MORE THAN 18,000/YR WAS ~~BE~~ ROUTINELY PAYING INTO DEFERRED COMP PLAN. [redacted] THEN LOST TOUCH W/ PROCESS. DIDN'T FIND OUT UNTIL COOPERS INVEST. THAT SOMEONE HAD SIGNED A CHECK.

b6 b7C

[redacted] CHECKS WERE NOT PAYROLL CHECKS. CAN ONLY ASSUME THAT [redacted] GOT 1098. [redacted] WAS [redacted]

b6 b7C

FD-340b (Rev. 8-18-89)

Universal File Case Number 29B-SF-111342

Field Office Acquiring Evidence SF

Serial # of Originating Document _____

Date Received 10-21-94

From [redacted] b6 b7C

[redacted]
(City and State)

By [redacted]
(Name of Special Agent)

To Be Returned ☐ Yes ☑ No

Receipt Given ☐ Yes ☑ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e), Federal Rules of Criminal Procedure

☐ Yes ☑ No

Title:

Reference: _____
(Communication Enclosing Material)

Description: ☑ Original notes re interview of

[redacted] OH 10-21-94 b6 b7C

29B-SF-111342-1A(18)

[redacted]

b6
b7C

2232 N. 1ST ST
SJ CA 9 [redacted]

EMPLOYED [redacted]

[redacted] HIRED AS [redacted] b6 b7C

WORKED FOR [redacted] UNTIL LEFT BANK. [redacted] IN [redacted] DEPT.

"GREAT GUY" TO WORK FOR. VERY RESPECTFUL OF PEOPLE WORKING FOR HIM. "NO EMPLOYMENT ISSUES W/ HIM."

UNTIL AFTER HE LEFT & INVESTIGATIONS HAD STARTED SHE DID NOT REALIZE / WOULD THINK ANY THING OUT OF THE ORDINARY WAS GOING ON.

RE - SCV YOUTH FOR CHRIST [redacted] THRU [redacted] WAS MAIN CONTACT - ASKED IF BANK WOULD DONATE. [redacted] ASKED FOR ~~OPER.~~ SERVICE DONATION MAN CASH [redacted] OFFERED DONATION ON BEHALF IN FORM OF OPERATION SUPPLIES / EXPENSE. [redacted] WAS TASKED BY [redacted] TO SIMPLY HANDLE PAYMENT FOR POSTAGE ETC b6 b7C

88 - ~92 HANDLED [redacted] EXERTED ALOT OF DIRECTION IN BEGINNING UNTIL ~ 90 WHEN [redacted] CAME ON BOARD. SHE TOOK [redacted] b6 b7C

IN ~93 ACCTS PAYABLE WENT CRAZY BECAUSE OF REAL ESTATE SITUATION W/ BANK - BECAUSE OF MGT CHANGES WHEN [redacted] CAME ON SHE BECAME PRIMARILY [redacted] TOOK OVER MOST MANAGERIAL DUTIES AT THAT TIME & [redacted] HAD IT FOR AWHILE BEFORE GOING ON [redacted] THEN HIRE [redacted] AS FULL TIME [redacted] b6 b7C

[redacted] ALWAYS HAD SOME TYPE OF INVOLVEMENT / OVERSIGHT OF ACCTS PAYABLE. WHENEVER QUESTION WHEN DEBIT ETC. b6 b7C

[redacted] TYPED BOARD MINUTES FOR [redacted] (DIRECTORS MTGS)
[redacted] HAD ATTENDANCE BINDER / MINUTES, SO SHE MADE SURE
ALL DIRECTORS GOT THEIR CHECKS. [redacted] TOLD HER TO PAY [redacted]
(?) [redacted] DIRECTORS FEE FOR BANK BOARD & DIRETORS MTGS. b6 b7C
(DON'T RECALL [redacted] WAS PAID FOR BOTH, BANK & HOLDING
COMPANY) BELIEVES STOP PAYING [redacted] PRIOR TO
[redacted] LEAVINGS. MAY BE CONFUSING THIS W/ PAYMENT TO ACCT
FOR [redacted] IN DEFFERED ACT.

WHILE IN ACCTS PAYABLE, [redacted] DOESN'T RECALL EVER SEEING
AN INVOICE SUBMITTED BY [redacted] CONSULTANTS PAID b6
NORMALLY OUT OF ACCT PAY VS PAYROLL AS EXPENSE. b7C
[redacted] WOULD HAVE RECEIVE A 1099 BASED ON EXPENSE
CHECKS.

CONTACT W/ [redacted] ≈ 3X SINCE HE LEFT BANK - DID NOT TALK
ABOUT HIS ACTIVITIES.
LAST CONV. IN ≈ JUNE 94 HE HAS NOT MADE ANY b6
ATTEMPTS TO CONTACT HER. SHE DOESN'T WANT ANY b7C
CONTACT W/ HIM.

WAS A MISUNDERSTANDING, THOUGHT SHE HAD TOLD HIM
TO TURN IN SOME STUFF. WAS TALKING TO BOYFRIEND NOT [redacted] b6 b7C

FD-340b (Rev. 8-18-89)

Universal File Case Number 29D-SF-111342

Field Office Acquiring Evidence _____

Serial # of Originating Document _____

Date Received 6/2/95

From _____
(Name of Contributor)

_____
(Address of Contributor)

By SA [redacted]

b6
b7C

To Be Returned ☐ Yes ☒ No

Receipt Given ☐ Yes ☐ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e), Federal Rules of Criminal Procedure

☐ Yes ☒ No

Title:

Reference: _____
(Communication Enclosing Material)

Description: ☐ Original notes re interview of

PKG. copies of FD-192 for [redacted] 12; [redacted]

b6
b7C
OTHER Sealed

[redacted]

29D-SF-111342 1A(9)

REC'D AT CLOSED FILES JUN 06 1995

CHAIN - OF - CUSTODY

	DATE	TIME
RECEIVED BY: [redacted]	10-13-94	9:30A
REASON: COLLECTED		
RECEIVED BY [redacted]		
REASON: Storage	10-14-94	2:00P
RECEIVED BY: [redacted]		
REASON: Release to Silicon Valley Bank	4/2/95	8:00am
RECEIVED BY:		
REASON:		
RECEIVED BY:		
REASON:		
RECEIVED BY:		
REASON:		
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b6
b7C

CHAIN - OF - CUSTODY

DATE TIME

RECEIVED BY:
REASON: COLLECTED

RECEIVED BY:
REASON:

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REASON:

RECEIVED BY:
REASON:

CHAIN - OF - CUSTODY

	DATE	TIME
RECEIVED BY: [redacted] REASON: COLL	8/10/94	12:50 PM
RECEIVED BY [redacted] REASON: Storage	8/11/94	9:30A
RECEIVED BY: [redacted] REASON: REVIEW	9/12/94	9:00A
RECEIVED BY [redacted] REASON: Storage	9/19/94	9:30A
RECEIVED BY: [redacted] REASON: To review docs. with SVB officials	12/22/94	2:58 PM
RECEIVED BY: [redacted] REASON: Storage	12/23/94	8:00A
RECEIVED BY: [redacted] REASON: To release to Silicon Valley Bank	6/2/95	8:00AM
RECEIVED BY: REASON:		
RECEIVED BY: REASON:		
RECEIVED BY: REASON:		
RECEIVED BY: REASON:		
RECEIVED BY: REASON:		
RECEIVED BY: REASON:		

b6
b7C

CHAIN - OF - CUSTODY

	DATE	TIME
RECEIVED BY: [redacted] b6 b7C REASON: COLLE	8/10/94	12:50 pm
RECEIVED BY [redacted] REASON: Storage	8/11/94	9:30A
RECEIVED BY: [redacted] REASON: REVIEW	8/29/94	9:30A
RECEIVED BY [redacted] REASON: Storage	9/2/94	10:35A
RECEIVED BY: [redacted] REASON: To review with Silicon Valley Bank personnel.	12/22/94	2:24pm
RECEIVED BY [redacted] REASON: Storage	12/23/94	8:00A
RECEIVED BY: [redacted] REASON: To release to SVB	6/2/95	8:00AM
RECEIVED BY: REASON:		
RECEIVED BY: REASON:		
RECEIVED BY: REASON:		
RECEIVED BY: REASON:		
RECEIVED BY: REASON:		
RECEIVED BY: REASON:		

CHAIN - OF - CUSTODY

	DATE	TIME
RECEIVED BY: [redacted] b6 b7C REASON: COLLE[redacted]	8/10/94	12:50 pm
RECEIVED BY: [redacted] REASON: Storage	8/11/94	9:30A
RECEIVED BY: [redacted] REASON: REVIEW	8/29/94	9:30A
RECEIVED BY: [redacted] REASON: Storage	9/2/94	10:35A
RECEIVED BY: [redacted] REASON: To review with SVB officials	12/22/94	2:15 pm
RECEIVED BY: [redacted] REASON: Storage	12/23/94	8:00A
RECEIVED BY: [redacted] REASON: Release to Silicon Valley Bank	6/2/95	8:00AM
RECEIVED BY: REASON:		
RECEIVED BY: REASON:		
RECEIVED BY: REASON:		
RECEIVED BY: REASON:		
RECEIVED BY: REASON:		
RECEIVED BY: REASON:		

CHAIN - OF - CUSTODY

	DATE	TIME
RECEIVED BY: [redacted] b6 b7C REASON: COLLE	8/10/94	12:50 PM
RECEIVED BY [redacted] REASON: Stacy	8/11/94	9:30A
RECEIVED BY: [redacted] REASON: REVIEW	9/12/94	9:00A
RECEIVED BY [redacted] REASON: STD Agent	9-19-94	9:30A
RECEIVED BY: [redacted] REASON: To review with SVB officials	12/22/94	2:55 PM
RECEIVED BY [redacted] REASON: Stacy	12/23/94	8:00A
RECEIVED BY: [redacted] REASON: Release to Silicon Valley Bank	6/2/95	8:00AM
RECEIVED BY: [redacted] REASON:		
RECEIVED BY: REASON:		
RECEIVED BY: REASON:		
RECEIVED BY: REASON:		
RECEIVED BY: REASON:		
RECEIVED BY: REASON:		

CHAIN - OF - CUSTODY

	DATE	TIME
RECEIVED BY: [redacted] b6 b7C REASON: COLLECTED	8/10/94	12:50 pm
RECEIVED BY [redacted] REASON: Storage	8/11/94	9:30A
RECEIVED BY: [redacted] REASON: REVIEW	8/30/94	9:00 *
RECEIVED BY [redacted] REASON: Storage	9/13/94	2:55P
RECEIVED BY: [redacted] REASON: To review with Silicon Valley Bank personnel	12/22/94	3:15 pm
RECEIVED BY [redacted] REASON: Storage	12/23/94	8:00A
RECEIVED BY: [redacted] REASON: To release to Silicon Valley Bank	6/2/95	8:00Am
RECEIVED BY: REASON:		
RECEIVED BY: REASON:		
RECEIVED BY: REASON:		
RECEIVED BY: REASON:		
RECEIVED BY: REASON:		
RECEIVED BY: REASON:		

CHAIN - OF - CUSTODY

	DATE	TIME
RECEIVED BY: [redacted] b6 b7C REASON: COLLECTED	8/10/94	12:50 pm
RECEIVED BY: [redacted] REASON: Storage	8/11/94	9:30A
RECEIVED B [redacted] REASON:	8/30/94	9:00 A
RECEIVED BY: [redacted] REASON: Storage	9/13/94	2:55P
RECEIVED BY: [redacted] REASON: To review with SVB officials	12/22/94	3:20pm
RECEIVED BY [redacted] REASON: Storage	12/23/94	8:00A
RECEIVED BY: [redacted] REASON: Released to Silicon Valley Bank	6/2/95	8:00AM
RECEIVED BY: REASON:		
RECEIVED BY: REASON:		
RECEIVED BY: REASON:		
RECEIVED BY: REASON:		
RECEIVED BY: REASON:		
RECEIVED BY: REASON:		

FD-340b (Rev. 8-18-89)

Universal File Case Number 29B-SF-111342

Field Office Acquiring Evidence _____

Serial # of Originating Document _____

Date Received 7/27/95

From _____
(Name of Contributor)

(Address of Contributor)

By SA [redacted] (Agent)

b6
b7C

To Be Returned ☐ Yes ☑ No

Receipt Given ☐ Yes ☑ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e), Federal Rules of Criminal Procedure

☐ Yes ☑ No

Title:

Reference: _____
(Communication Enclosing Material)

Description: ☐ Original notes re interview of

-Pkg. copies of FD-192 for [redacted]

b6
b7C
OTHER Sealed

- Registered mail receipt. [redacted]

[redacted]

29B-SF-111342 1A(20)

CHAIN - OF - CUSTODY

	DATE	TIME
RECEIVED BY: [redacted] b6 b7C REASON: COLLE[redacted] OTHER Sealed	8/10/94	12:50
RECEIVED BY: [redacted] REASON: Storage	8/11/94	9:30A
RECEIVED BY [redacted] REASON: REVIEW	8/30/94	9:00+
RECEIVED BY [redacted] REASON: Storage	9/19/94	9:30A
RECEIVED BY [redacted] REASON: give TO SA [redacted]	11/28/94	10:20A
RECEIVED BY [redacted] REASON: TO COPY DOCUMENTS	11-28-94	10:20+
RECEIVED BY [redacted] REASON: Storage	12-1-94	10:45A
RECEIVED BY: [redacted] REASON: To review with SVB officials	12/22/94	3:21 pm
RECEIVED BY [redacted] REASON: Storage	12/23/94	8:00A
RECEIVED BY: [redacted] REASON: Released [redacted] to Silicon Valley Bank	6/2/95	8:00Am
RECEIVED BY [redacted] REASON: Storage (except for SVB docs)	6/2/95	2:00p
RECEIVED BY: US mail [redacted] REASON: return to contributor	7-24-95	1:40p
RECEIVED BY: REASON:		

returned to [redacted]

[redacted] via US mail [redacted]

7/24/95. [redacted]

CHAIN - OF - CUSTODY

DATE TIME

RECEIVED BY: [redacted]
REASON: COLLECTED — 8/10/94 12:50 PM

RECEIVED BY: [redacted]
REASON: Storage — 8/11/94 9:30A

RECEIVED BY [redacted]
REASON: Give to SA [redacted] — 9/9/94 11:40A

RECEIVED BY [redacted]
REASON: DOWNLOAD — 9/9/94 11:41A

RECEIVED BY [redacted]
REASON: ORDERS / REVIEW — 9/9/94 4:31P — b6 b7C OTHER Sealed

RECEIVED BY: [redacted]
REASON: Bullets — 7/5/95 3:45P

RECEIVED BY: [redacted]
REASON: To SORA VIA FED EX [redacted] — 7/6/95 11:00A

RECEIVED BY: [redacted]
REASON: From mail — 7/7/95 3:00PM

RECEIVED BY [redacted]
REASON: Storage — 7/10/95 9:30A

RECEIVED BY: U.S. Mail [redacted]
REASON: return to contributor — 7-24-95 1:40P

RECEIVED BY:
REASON:

RECEIVED BY:
REASON:

RECEIVED BY:
REASON:

return to [redacted]
[redacted] 7/24/95. [redacted]

CHAIN - OF - CUSTODY

	DATE	TIME
RECEIVED BY: [redacted] REASON: COLLECTED	8/10/94	12:50 pm
RECEIVED BY: [redacted] REASON: Storage	8/11/94	9:30A
RECEIVED BY [redacted] REASON: REVIEW	9/9/94	9:00A
RECEIVED BY [redacted] REASON: Storage	9-22-94	7:40A
RECEIVED BY: [redacted] REASON: To review with Silicon Valley Bank officials	12/22/94	2:50 p.m
RECEIVED BY [redacted] REASON: Storage	12/23/94	8:00A
RECEIVED BY: U.S. Mail [redacted] REASON: return to contributor	7-24-95	1:40p
RECEIVED BY: REASON:		
RECEIVED BY: REASON:		
RECEIVED BY: REASON:		
RECEIVED BY: REASON:		
RECEIVED BY: REASON:		

b6
b7C
OTHER Sealed

return to [redacted] via US Mail [redacted]

7/24/95. [redacted]

Is your RETURN ADDRESS completed on the reverse side?

SENDER:

- Complete items 1 and/or 2 for additional services.
- Complete items 3, and 4a & b.
- Print your name and address on the reverse of this form so that we can return this card to you.
- Attach this form to the front of the mailpiece, or on the back if space does not permit.
- Write "Return Receipt Requested" on the mailpiece below the article number.
- The Return Receipt will show to whom the article was delivered and the date delivered.

I also wish to receive the following services (for an extra fee):

1. ☐ Addressee's Address
2. ☐ Restricted Delivery

Consult postmaster for fee.

3. Article Addressed to:

[redacted]

4a. Article Number

[redacted]

4b. Service Type

- ☑ Registered
- ☐ Insured
- ☐ Certified
- ☐ COD
- ☐ Express Mail
- ☐ Return Receipt for Merchandise

7. Date of Delivery

7-26-95

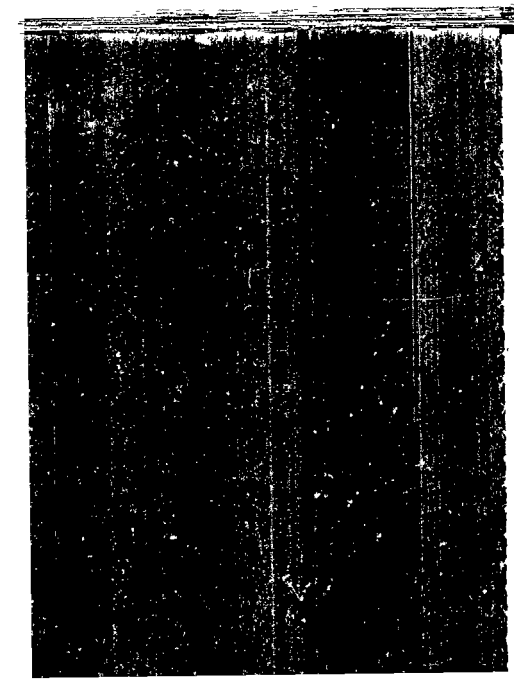
8. Addressee's Address (Only if requested and fee is paid)

[redacted]

Thank you for using Return Receipt Service.

PS Form **3811**, December 1991 ☆U.S. GPO: 1993—352-714 **DOMESTIC RETURN RECEIPT**

b6
b7C
OTHER Sealed



UNITED STATES POSTAL SERVICE

Official Business

PENALTY FOR PRIVATE
USE TO AVOID PAYMENT
OF POSTAGE, $300

U.S.MAIL

Print your name, address and ZIP Code here

FBI
1250 S BASCOM AV STE 3011
SAN JOSE, CA 95128
T. GEORGE

FEDERAL BUREAU OF INVESTIGATION
FOI/PA
DELETED PAGE INFORMATION SHEET
FOI/PA# 1589077-000

Total Deleted Page(s) = 114
Page 3 ~ Referral/Direct;
Page 4 ~ Referral/Direct;
Page 5 ~ Referral/Direct;
Page 6 ~ Referral/Direct;
Page 7 ~ Referral/Direct;
Page 8 ~ Referral/Direct;
Page 9 ~ Referral/Direct;
Page 10 ~ Referral/Direct;
Page 11 ~ Referral/Direct;
Page 12 ~ Referral/Direct;
Page 13 ~ Referral/Direct;
Page 14 ~ Referral/Direct;
Page 15 ~ Referral/Direct;
Page 16 ~ Referral/Direct;
Page 17 ~ Referral/Direct;
Page 33 ~ Referral/Direct;
Page 34 ~ Referral/Direct;
Page 35 ~ Referral/Direct;
Page 36 ~ OTHER - Sealed pursuant to Court Order;
Page 38 ~ OTHER - Sealed pursuant to Court Order;
Page 39 ~ OTHER - Sealed pursuant to Court Order;
Page 40 ~ OTHER - Sealed pursuant to Court Order;
Page 41 ~ OTHER - Sealed pursuant to Court Order;
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Page 59 ~ OTHER - Sealed pursuant to Court Order;
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Page 70 ~ Referral/Direct;
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Page 72 ~ Referral/Direct;
Page 73 ~ Referral/Direct;
Page 75 ~ b6; b7C;
Page 76 ~ b6; b7C;
Page 77 ~ b6; b7C;
Page 78 ~ b6; b7C;
Page 79 ~ b6; b7C;
Page 80 ~ b6; b7C;
Page 82 ~ b6; b7C;
Page 83 ~ b6; b7C;
Page 84 ~ b6; b7C;
Page 85 ~ b6; b7C;
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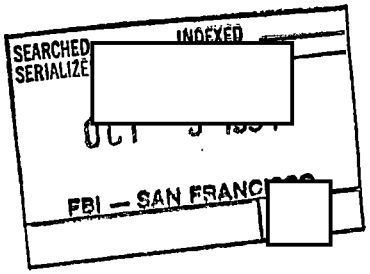
29B-SF-111342-19



b6
b7C

August 16, 1994

TITLE AND CHARACTER OF CASE

[redacted]

SILICON VALLEY BANK

b6
b7C

DATE PROPERTY ACQUIRED	SOURCE FROM WHICH PROPERTY ACQUIRED
August 10, 1994	[redacted]

LOCATION OF PROPERTY: SJECR/1118

ANTICIPATED DISPOSITION	ACQUIRING AGENT	CASE AGENT
RETURN		[redacted]

GRAND JURY MATERIAL - NO (DISSEMINATE ONLY PURSUANT TO RULE 6(E))

DESCRIPTION OF PROPERTY

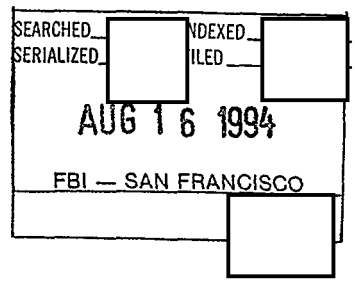
BARCODE: E0468386

[redacted]

OTHER Sealed

FOR VALUABLES AND/OR NARCOTICS EVIDENCE ONLY
TWO SPECIAL AGENTS VERIFYING AND SEALING BAG CONTENTS

FIELD FILE NUMBER: SF/SF-0111342 (029B)
OFFICE-OF-ORIGIN: SAN FRANCISCO



b6
b7C

29B-SF-111342 1B1

August 16, 1994

TITLE AND CHARACTER OF CASE

[redacted]

SILICON VALLEY BANK

b6
b7C

DATE PROPERTY ACQUIRED	SOURCE FROM WHICH PROPERTY ACQUIRED
August 10, 1994	[redacted]

LOCATION OF PROPERTY: SJECR/1118

ANTICIPATED DISPOSITION	ACQUIRING AGENT	CASE AGENT
RETURN	[redacted]	

GRAND JURY MATERIAL - NO (DISSEMINATE ONLY PURSUANT TO RULE 6(E))

DESCRIPTION OF PROPERTY

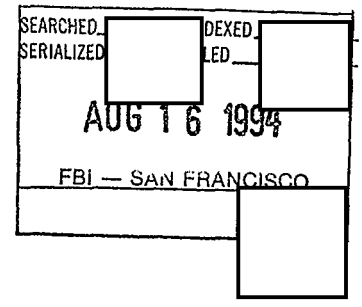
BARCODE: E0468385

[redacted]

OTHER Sealed

FOR VALUABLES AND/OR NARCOTICS EVIDENCE ONLY
TWO SPECIAL AGENTS VERIFYING AND SEALING BAG CONTENTS

FIELD FILE NUMBER: SF/SF-0111342 (029B)
OFFICE-OF-ORIGIN: SAN FRANCISCO



b6
b7C

29B-SF-111342 1B2

August 16, 1994

TITLE AND CHARACTER OF CASE

[redacted]
SILICON VALLEY BANK

b6
b7C

DATE PROPERTY ACQUIRED	SOURCE FROM WHICH PROPERTY ACQUIRED
August 10, 1994	[redacted]

LOCATION OF PROPERTY: SJECR/1118

ANTICIPATED DISPOSITION	ACQUIRING AGENT	CASE AGENT
RETURN		[redacted]

GRAND JURY MATERIAL - NO (DISSEMINATE ONLY PURSUANT TO RULE 6(E))

DESCRIPTION OF PROPERTY

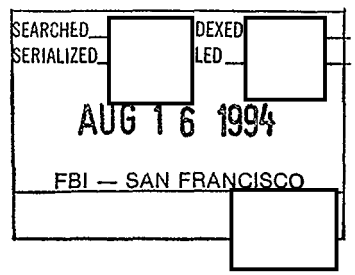
BARCODE: E0468384

[redacted]

OTHER Sealed

FOR VALUABLES AND/OR NARCOTICS EVIDENCE ONLY
TWO SPECIAL AGENTS VERIFYING AND SEALING BAG CONTENTS

FIELD FILE NUMBER: SF/SF-0111342 (029B)
OFFICE-OF-ORIGIN: SAN FRANCISCO



b6
b7C

29B-SF-111342 1B3

August 16, 1994

TITLE AND CHARACTER OF CASE

[redacted]
SILICON VALLEY BANK

DATE PROPERTY ACQUIRED	SOURCE FROM WHICH PROPERTY ACQUIRED
August 10, 1994	[redacted]

b6
b7C

LOCATION OF PROPERTY: SJECR/1118

ANTICIPATED DISPOSITION	ACQUIRING AGENT	CASE AGENT
RETURN	[redacted]	

GRAND JURY MATERIAL - NO (DISSEMINATE ONLY PURSUANT TO RULE 6(E))

DESCRIPTION OF PROPERTY

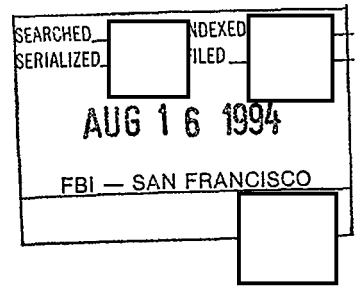
BARCODE: E0468383

[redacted]

OTHER Sealed

FOR VALUABLES AND/OR NARCOTICS EVIDENCE ONLY
TWO SPECIAL AGENTS VERIFYING AND SEALING BAG CONTENTS

FIELD FILE NUMBER: SF/SF-0111342 (029B)
OFFICE-OF-ORIGIN: SAN FRANCISCO



b6
b7C

29B-SF-111342 1BY

August 16, 1994

TITLE AND CHARACTER OF CASE

[redacted]

SILICON VALLEY BANK

b6
b7C

DATE PROPERTY ACQUIRED	SOURCE FROM WHICH PROPERTY ACQUIRED
August 10, 1994	[redacted]

LOCATION OF PROPERTY: SJECR/1123

ANTICIPATED DISPOSITION	ACQUIRING AGENT	CASE AGENT
RETURN	[redacted]	

GRAND JURY MATERIAL - NO (DISSEMINATE ONLY PURSUANT TO RULE 6(E))

DESCRIPTION OF PROPERTY

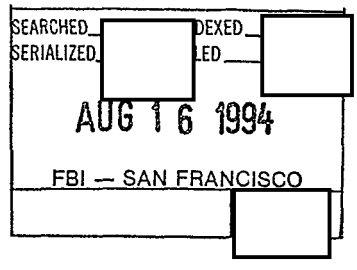
BARCODE: E0468382

[redacted]

OTHER Sealed

FOR VALUABLES AND/OR NARCOTICS EVIDENCE ONLY
TWO SPECIAL AGENTS VERIFYING AND SEALING BAG CONTENTS

FIELD FILE NUMBER: SF/SF-0111342 (029B)
OFFICE-OF-ORIGIN: SAN FRANCISCO



b6
b7C

29B-SF-111342 185

August 16, 1994

TITLE AND CHARACTER OF CASE

[redacted]

SILICON VALLEY BANK

b6
b7C

DATE PROPERTY ACQUIRED	SOURCE FROM WHICH PROPERTY ACQUIRED
August 10, 1994	[redacted]

LOCATION OF PROPERTY: SJECR/1123

ANTICIPATED DISPOSITION	ACQUIRING AGENT	CASE AGENT
RETURN	[redacted]	

GRAND JURY MATERIAL - NO (DISSEMINATE ONLY PURSUANT TO RULE 6(E))

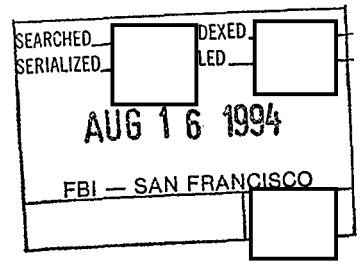
DESCRIPTION OF PROPERTY

BARCODE: E0468381

[redacted] OTHER Sealed

FOR VALUABLES AND/OR NARCOTICS EVIDENCE ONLY
TWO SPECIAL AGENTS VERIFYING AND SEALING BAG CONTENTS

FIELD FILE NUMBER: SF/SF-0111342 (029B)
OFFICE-OF-ORIGIN: SAN FRANCISCO



b6
b7C

29B-SF-111342 1B6

August 16, 1994

TITLE AND CHARACTER OF CASE

[redacted]

SILICON VALLEY BANK

b6
b7C

DATE PROPERTY ACQUIRED	SOURCE FROM WHICH PROPERTY ACQUIRED
August 10, 1994	[redacted]

LOCATION OF PROPERTY: SJECR/1123

ANTICIPATED DISPOSITION	ACQUIRING AGENT	CASE AGENT
RETURN	[redacted]	

GRAND JURY MATERIAL - NO (DISSEMINATE ONLY PURSUANT TO RULE 6(E))

DESCRIPTION OF PROPERTY

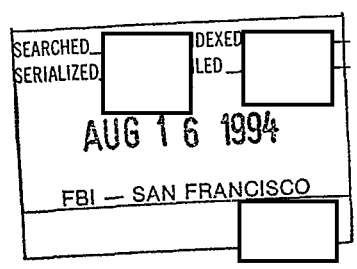
BARCODE: E0468380

[redacted]

OTHER Sealed

FOR VALUABLES AND/OR NARCOTICS EVIDENCE ONLY
TWO SPECIAL AGENTS VERIFYING AND SEALING BAG CONTENTS

FIELD FILE NUMBER: SF/SF-0111342 (029B)
OFFICE-OF-ORIGIN: SAN FRANCISCO



b6
b7C

29B-SF-111342 187

August 16, 1994

TITLE AND CHARACTER OF CASE

[redacted]

SILICON VALLEY BANK

b6
b7C

DATE PROPERTY ACQUIRED	SOURCE FROM WHICH PROPERTY ACQUIRED
August 10, 1994	[redacted]

LOCATION OF PROPERTY: SJECR/1123

ANTICIPATED DISPOSITION	ACQUIRING AGENT	CASE AGENT
RETURN	[redacted]	

GRAND JURY MATERIAL - NO (DISSEMINATE ONLY PURSUANT TO RULE 6(E))

DESCRIPTION OF PROPERTY

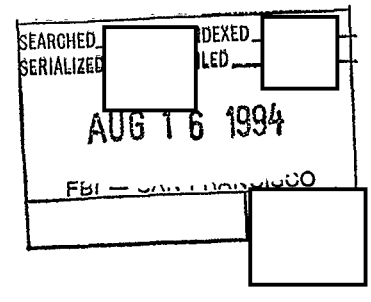
BARCODE: E0468379

[redacted]

OTHER Sealed

FOR VALUABLES AND/OR NARCOTICS EVIDENCE ONLY
TWO SPECIAL AGENTS VERIFYING AND SEALING BAG CONTENTS

FIELD FILE NUMBER: SF/SF-0111342 (029B)
OFFICE-OF-ORIGIN: SAN FRANCISCO



b6
b7C

29B-SF-111342 1B8

August 16, 1994

TITLE AND CHARACTER OF CASE

[redacted]

SILICON VALLEY BANK

b6
b7C

DATE PROPERTY ACQUIRED | SOURCE FROM WHICH PROPERTY ACQUIRED
August 10, 1994 | [redacted]

LOCATION OF PROPERTY: SJECR/1128

ANTICIPATED DISPOSITION | ACQUIRING AGENT | CASE AGENT
RETURN | [redacted]

GRAND JURY MATERIAL - NO (DISSEMINATE ONLY PURSUANT TO RULE 6(E))

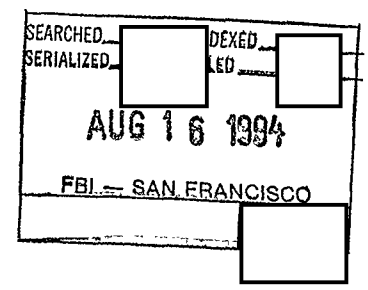
DESCRIPTION OF PROPERTY

BARCODE: E0468378

[redacted] OTHER Sealed

FOR VALUABLES AND/OR NARCOTICS EVIDENCE ONLY
TWO SPECIAL AGENTS VERIFYING AND SEALING BAG CONTENTS

FIELD FILE NUMBER: SF/SF-0111342 (029B)
OFFICE-OF-ORIGIN: SAN FRANCISCO



b6
b7C

29B-SF-111342 189

August 16, 1994

TITLE AND CHARACTER OF CASE

[redacted]

SILICON VALLEY BANK

b6
b7C

DATE PROPERTY ACQUIRED	SOURCE FROM WHICH PROPERTY ACQUIRED
August 10, 1994	[redacted]

LOCATION OF PROPERTY: SJECR/0166

ANTICIPATED DISPOSITION	ACQUIRING AGENT	CASE AGENT
RETURN	[redacted]	

GRAND JURY MATERIAL - NO (DISSEMINATE ONLY PURSUANT TO RULE 6(E))

DESCRIPTION OF PROPERTY

BARCODE: E0468377

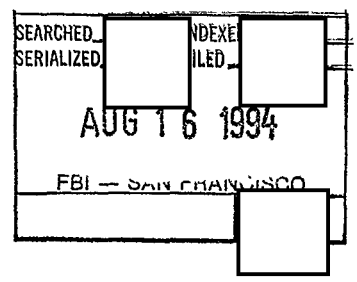
[redacted]

OTHER Sealed

Evidence returned 10/3/94, see IAC(11) for pkg. copy. 10/5/94. [redacted]

FOR VALUABLES AND/OR NARCOTICS EVIDENCE ONLY
TWO SPECIAL AGENTS VERIFYING AND SEALING BAG CONTENTS

FIELD FILE NUMBER: SF/SF-0111342 (029B)
OFFICE-OF-ORIGIN: SAN FRANCISCO



b6
b7C

29B-SF 111342 1B10

August 16, 1994

TITLE AND CHARACTER OF CASE

[redacted]

SILICON VALLEY BANK

b6
b7C

DATE PROPERTY ACQUIRED	SOURCE FROM WHICH PROPERTY ACQUIRED
August 10, 1994	[redacted]

LOCATION OF PROPERTY: SJECR/0166

ANTICIPATED DISPOSITION	ACQUIRING AGENT	CASE AGENT
RETURN	[redacted]	

GRAND JURY MATERIAL - NO (DISSEMINATE ONLY PURSUANT TO RULE 6(E))

DESCRIPTION OF PROPERTY

BARCODE: E0468376

[redacted]

OTHER Sealed

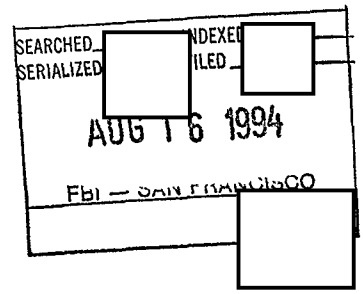
Evidence returned 10/3/94 see IA(11) for phy. copy 10/5/94 [redacted]

FOR VALUABLES AND/OR NARCOTICS EVIDENCE ONLY
TWO SPECIAL AGENTS VERIFYING AND SEALING BAG CONTENTS

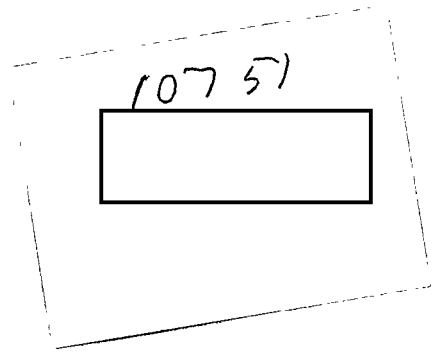
[redacted]

b6
b7C

FIELD FILE NUMBER: SF/SF-0111342 (029B)
OFFICE-OF-ORIGIN: SAN FRANCISCO



29D SF-111342 1B11



b6
b7C

October 14, 1994

TITLE AND CHARACTER OF CASE

[redacted]

SILICON VALLEY BANK
(SEE SUB A EXHIBITS)

b6
b7C

DATE PROPERTY ACQUIRED	SOURCE FROM WHICH PROPERTY ACQUIRED
October 13, 1994	SA [redacted] IRS

LOCATION OF PROPERTY: SJECR/0160

ANTICIPATED DISPOSITION	ACQUIRING AGENT	CASE AGENT
RETURN		[redacted]

GRAND JURY MATERIAL - NO (DISSEMINATE ONLY PURSUANT TO RULE 6(E))

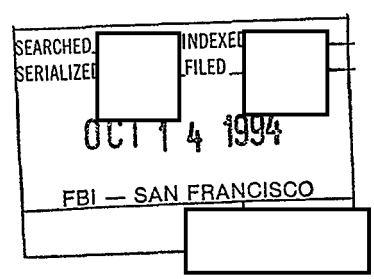
DESCRIPTION OF PROPERTY

BARCODE: E0468533
1B12; ONE (1) BANKER'S BOX OF DOCUMENTS REGARDING SVB ACTIVITIES
OF [redacted]

b6
b7C

FOR VALUABLES AND/OR NARCOTICS EVIDENCE ONLY
TWO SPECIAL AGENTS VERIFYING AND SEALING BAG CONTENTS

FIELD FILE NUMBER: SF/SF-0111342 (029B)
OFFICE-OF-ORIGIN: SAN FRANCISCO



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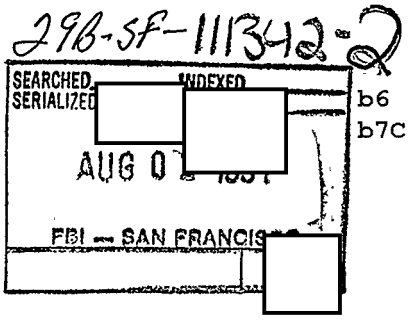
29B-SF-111342 1B12


29B-SF-111342-2
SEARCHED
INDEXED
SERIALIZED
AUG 0
FBI — SAN FRANCIS
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FD-467 (Rev. 5-7-91)

Memorandum

To : File

From : SA [redacted] 29-B-SF-
(serial # is mandatory when control file is used) [redacted]

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Subject : [redacted]
Silicon Valley Bank - victim;
FIF;
00: SF

1. Source of Captioned Matter:

- ☐ A: Federal Supervisory Agency Written Referral
- ☒ B: Financial Institution Written Referral
- ☐ C: Other

2. Name of Victim Financial Institution: Silicon Valley Bank

3. Type of Victim Institution:

- ☐ A: FDIC
- ☒ B: OCC
- ☐ C: FRB
- ☐ D: Savings Association
- ☐ E: Credit Union
- ☐ F: Farm Credit Institution

4. State Victim Institution Located: (two letter identifier) CA

5. Type of Subject(s):

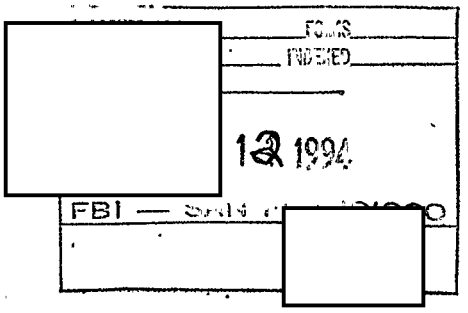
- ☒ A: Insider
- ☐ B: Insider & Outsider
- ☐ C: Outsider
- ☐ D: Unknown

6. A: Amount of Reported Loss (before restitution, if any) 300,000
B: Amount of Reported Restitution (if any) 0.

7. Action taken:

- ☒ A: case opened/or reopened
- ☐ B: incorporated into pending investigation
- ☐ C: no action due to state or local prosecution
- ☐ D: amount of loss does not meet prosecutive guidelines
- ☐ E: Federal violation is handled by another Federal agency or FBI squad
- ☐ F: matter was presented to USA and prosecution was declined
- ☐ G: initiation of investigation delayed due to current unavailability of resources
- ☐ H: Financial Institution Fraud, within the FBI's jurisdiction, referred to U. S. Secret Service
- ☐ I. No apparent Federal Violation

Refer to MIOG, Section 29-6.1, for reporting and notification requirements upon receipt of criminal referrals in financial fraud matters.



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29B-SF-111343-5

29B-SF-111342-6
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FBI — SAN FRANC
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Memorandum

To : SAC, SAN FRANCISCO (29B-SF-111342)(P) Date 8/16/94

From : SA [redacted] b6 b7C

Subject: [redacted]
SILICON VALLEY BANK - VICTIM,
3000 Lakeside Drive,
Santa Clara, California,
FIF
OO: SAN FRANCISCO

On 8/15/94, SA [redacted] met with AUSA [redacted] b6 b7C to discuss the case against subject O'CONNOR.

SA [redacted] and AUSA [redacted]

[redacted] b5 b6 b7C

SA [redacted] and AUSA [redacted] once again discussed

[redacted] b5 b6 b7C

SA [redacted] will [redacted] b6 b7C OTHER Sealed

It was decided [redacted] b3 b5 b6 b7C

[redacted] AUSA [redacted] will take of getting the information needed for the subpoena for [redacted] SA [redacted] will get the information required for [redacted]

(1)- 29B-SF-11134

[redacted] b6 b7C

SEARCHED [redacted] INDEXED [redacted]
SERIALIZED [redacted] FILED [redacted]
SEP 8 1994
FBI — SAN FRAN [redacted]

29B-SF-111342-7

[redacted] b3 b6 b7C

SA [redacted] will keep all original subpoenas until such time that all can be returned to the Grand Jury at one time. b6 b7C

[redacted]

29B-SF-111342

8-23-94

b6
b7C

SUBJECT'S NAME [redacted]

NAME VARIATIONS ADD: [redacted]

P = POSITIVE (IDENT) RESPONSE, SEE PRINTOUT
N = NEGATIVE, NO RESPONSE, NO PRINTOUT
Q = QUESTIONABLE IF IDENT, SEE PRINTOUT
X = ADDITIONAL INFO NEEDED

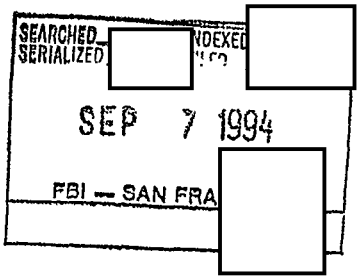
[redacted] b6 b7C

DMV P PHOTO ordered VER P CII Q

CII RAP Q III N
(CRIMINAL HISTORY ONLY
20 STATES PARTICIPATE)

FBI RAP N NCIC N
(WANTED/MISSING PERSONS)

CJIC/JPQN N JPQN N JTOR N
PFN DETAILS HISTORY
(SANTA CLARA COUNTY ONLY)



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29B-SF-111342-10

[redacted]

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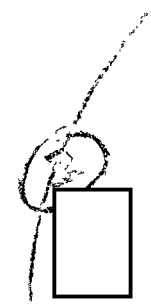
29B-SF-111342
8-23-94

SUBJECT'S NAME [redacted]

NAME VARIATIONS ADD: [redacted]

P = POSITIVE (IDENT) RESPONSE, SEE PRINTOUT
N = NEGATIVE, NO RESPONSE, NO PRINTOUT
Q = QUESTIONABLE IF IDENT, SEE PRINTOUT
X = ADDITIONAL INFO NEEDED

DMV P PHOTO ordered VEH N CII N



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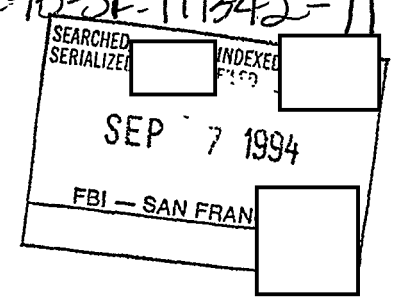
CII RAP N CII N
(CRIMINAL HISTORY ONLY
20 STATES PARTICIPATE)

FBI RAP N NCIC N
(WANTED/MISSING PERSONS)

CJIC/JPON N JPOD N JTOR N
PFN DETAILS HISTORY
(SANTA CLARA COUNTY ONLY)

29B-SF-111342-11



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FEDERAL BUREAU OF INVESTIGATION

Date of transcription 8/11/94

On August 9, 1994, a search warrant for [redacted] b6 was signed by United States Magist b7C a PATRICIA V. TRUMBULL, United States District Court, Northern Dis OTHER Sealed of California.

The search warrant was executed at [redacted] b6 by Special Agents of b7C Federal Bureau of Investigation (FBI), San Francisco Division. OTHER Sealed

[redacted] was furnished a copy of the search warrant. Also present within the residence at the start of the search b6 were: [redacted] b7C

Photographs were taken prior to the start and during the search by Special Agent (SA) [redacted] Photographs were also taken at the conclusion of the search by SA [redacted] and SA [redacted] The completed FD-674 photo logs and copies of the photographs are being maintained in the 1A section of the case b6 file. A sketch of the interior and a sketch of portions of the b7C exterior of the residence were prepared by SA [redacted] and are also being maintained in the 1A section of the case file.

In compliance with the specifications of the search warrant, the following items were seized:

[redacted] OTHER Sealed

Investigation on [redacted] File # 29B-SF-111342-13

SA [redacted] SA [redacted]

SA [redacted] SA [redacted] ate dictated 8/11/94 b6

SA [redacted] SA [redacted] b7C

OTHER Sealed

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

OTHER Sealed

[redacted]

These items are being maintained in the San Jose FBI Evidence Control Center.

At approximately 12:30 P.M. and near the conclusion of the search, [redacted], Attorney at Law, Severson & Werson, One Embarcadero Center, San Francisco, California 94111, telephone number (415) 398-3344, arrived at the residence. b6 b7C

The inventory of the items being seized was explained individually to [redacted] by SA [redacted] was furnished a copy of the inventory/receipt. b6 b7C

The search was concluded at approximately 12:50 P.M.

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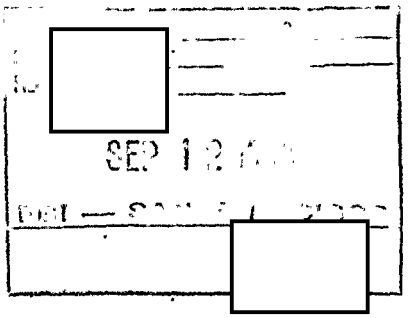
b7C



29B-SF-111342-13

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 8/22/94

[redacted] date of birth [redacted] b6
[redacted] Silicon Valley Bank (SVB), was advised of the b7C
identity of the interviewing agents and the nature of the nature of the interview. He then provided the following information:

[redacted] advised that in early April 1994, he was tasked with the responsibility [redacted] and provided it to [redacted] to peruse at his leisure. Sometime later, [redacted] returned to [redacted] with a list of b6
demands which [redacted] found to be unreasonable. [redacted] told b7C
[redacted] that SVB needed [redacted] cooperation in a class action lawsuit in which SVB and [redacted] were individually named as defendants. [redacted] said that the lawsuit had been filed by shareholders of SVB. According to [redacted] was SVB's star witness in their defense. [redacted] gave [redacted] a veiled threat, indicating that if his separation demands were not met, [redacted] might not cooperate with SVB's defense in the lawsuit. [redacted] felt that the bank was being blackmailed by [redacted] because if the bank was not able to mount an effective defense against the lawsuit, it stood to lose millions of dollars in a settlement or award to the plaintiffs.

[redacted] stated that [redacted] did not receive the settlement agreement that he wanted. [redacted] wanted SVB to prepay his life insurance policy for another year. [redacted] refused to do so and [redacted] was given the beneficial interest b6
of the policy as it was on the day of his separation. According b7C
to [redacted] he and [redacted] were unaware that [redacted] had prepaid the full amount of the life insurance policy for a period of ten years. [redacted] advised that [redacted] sole administrator of the life insurance program for SVB [redacted] related records. [redacted] stated that when SVB negotiated settlement agreement, they acted in the belief that [redacted] acted in good faith. [redacted] stated that had the executives and officers of SVB known that [redacted] had prepaid the life

FBI — SAN FR... FEB 28 1994

Investigation on 8/4/94 at San Jose, California File # 29B-SF-111342 -14

by SA [redacted] Date dictated 8/22/94 b6 b7C

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insurance policy, they would never have relinquished this asset in its entirety to [redacted]

[redacted] was asked whether the Board of Directors had granted authority to [redacted] to prepay the insurance fees. [redacted] emphatically denied any such authority was ever given to [redacted] The interview was then terminated.

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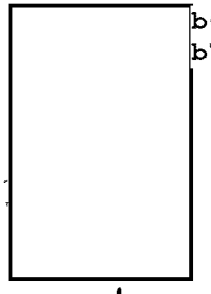

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166

FD-302 (Rev. 3-10-82)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 10/11/94

[redacted] Silicon Valley Bank (SVB), was advised of the identities of the interviewing agents and the nature of the interview. He then provided the following information: b6 b7C

[redacted] stated that in June of 1993, all senior employees of SVB were asked to attend a meeting. At that meeting, it was announced that no further deferred compensation payments would be given to senior employees after January 1, 1994. The deferred compensation amounted to making payments on whole life insurance policies in the name of individual employees. b6 b7C

[redacted] said that on [redacted] he had a private meeting with [redacted] of SVB. [redacted] advised that prior to the meeting, he and [redacted] had several differences of opinion concerning the operations of SVB. During the meeting, [redacted] advised [redacted] that his services were no longer required by SVB. [redacted] said that the termination was not effective until a later date, to allow SVB time to find another [redacted] told [redacted] that the bank would prepare a seperation agreement for [redacted] review. b6 b7C

[redacted] advised that after the agreement was prepared, [redacted] specifically requested that SVB make the 1994 installment on [redacted] deferred compensation. [redacted] stated that he declined to make the payment. b6 b7C

[redacted]

RECEIVED AT HQ 10-13-94

[redacted] b6 b7C

Investigation on 8/4/94 at SANTA CLARA, CALIFORNIA File # 29B-SF-111342-14X1

by SA [redacted] SA Date dictated 10/10/94

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

FD-302 (Rev. 3-10-82)

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 10/17/94

[redacted] date of birth [redacted] [redacted] Silicon Valley Bank (SVB), was advised of the identity of the interviewing agents and the nature of the interview. He agreed to be interviewed in a conference room at SVB and furnished the following information: b6 b7C

[redacted] advised that he began working for SVB in the fall of 1990. [redacted] advised that his immediate superior was [redacted] SVB. [redacted] had become acquainted with [redacted] in 1986 when [redacted] worked for the accounting firm of [redacted] During his two year employment at [redacted] was also his boss. b6 b7C

[redacted] stated that he left [redacted] and went to work for [redacted] a company which was started by [redacted] a former board member of SVB. [redacted] began this employment in [redacted] In [redacted] was offered a job with SVB and subsequently left his position with [redacted] b6 b7C

[redacted] said that he considers [redacted] to be a good friend as well as a former superior. He stated that they share common friends outside the work environment and frequently socialize together. b6 b7C

[redacted] advised that he has visited [redacted] at his home several times. He described it as a nice home, but not luxurious. The house had two bedrooms upstairs and possibly two downstairs. [redacted] thought that the house had been remodeled in the summer of 1992. He believed that [redacted] may use one of the upstairs bedrooms as an office. [redacted] said that the garage portion of the house is used for storage and to park one or two of [redacted] vehicles. [redacted] said that [redacted] drives a Ford Explorer, a Jeep Cherokee, and a Nissan Maxima. H[redacted] advised that [redacted] has a timeshare in some type [redacted] as well as some type of real property [redacted] b6 b7C

Investigation on 8/4/94 at SANTA CLARA, CALIFORNIA File # 29B-SF-111342 14X2

by SA [redacted] SA Date dictated 10/17/94 b6 b7C

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

 b6 b7C

[redacted] said that he has not been to [redacted] house in the last four months.

[redacted] described [redacted] as bright, conservative, but arrogant. He said that [redacted] had no other confidants at the bank, save himself. He said that most of the office staff found [redacted] to be intimidating, because [redacted] was known to have a temper. [redacted] said that despite some of these shortcomings, and the fact that [redacted] did not delegate well, he considered [redacted] to be a good boss. [redacted] stated that [redacted] was directly responsible for supervising three employees b6 b7C

[redacted] stated that while [redacted] was employed at SVB, [redacted] managerial style clashed with that of [redacted] said that [redacted] could not or would not respond to [redacted] demands. After an early illness in 1994, [redacted] told [redacted] that [redacted] had a discussion with [redacted] in which [redacted] told [redacted] that his services were no longer needed. [redacted] knew that [redacted] was in the process of working out a settlement agreement with the bank, but did not know the details. [redacted] advised that he thought it was a good idea that [redacted] and SVB part ways, because [redacted] had become an obstacle that other bank employees had to work around, thereby decreasing efficiency. b6 b7C

[redacted] said that [redacted] departure from SVB could best be described as vanilla, in that the separation was non-amicable, yet not hostile. To [redacted] knowledge, [redacted] has not found employment since leaving SVB, although he is still a consultant to SVB. b6 b7C

[redacted] was advised by the interviewing agents that certain files belonging to SVB had been identified as missing from SVB. [redacted] initially said that he had no knowledge of any missing files, either from his office or from any other employee of SVB. However, upon further recollection, [redacted] said that he remembers hearing several bank employees complain about missing files. b6 b7C

[redacted] said that he saw [redacted] remove several banker type boxes from [redacted] office. [redacted] stated that all documentation concerning deferred compensation was kept in [redacted] office. [redacted] said that to his knowledge, this documentation cannot be located. [redacted] said that additional b6 b7C

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b7C

files which were maintained in [redacted] office, can also not be located. [redacted] denied holding or keeping any bank documents on behalf of [redacted]

[redacted] said that he was present when [redacted] was packing to leave his office, but could not recollect the date. However he could provide no information as to what was placed into the packing boxes. [redacted] stated that he was not present when the boxes were removed from the premises. [redacted] advised that all of the filing cabinets utilized by [redacted] were found to be empty after [redacted] separated from the bank.

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[redacted] advised that the employees of the finance department held a small going away party for [redacted] on the Thursday before his departure. After the party, [redacted] remembered seeing [redacted] placing bankers boxes, containing what [redacted] believes were personal items, into [redacted] automobile.

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[redacted] said that [redacted] was a "pack rat", who joked that he would have to clear out materials he saved from previous employments from his garage in order to make room for the items he was going to be keeping from his tenure at SVB.

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[redacted] stated that it was his belief that [redacted] since leaving the bank, had travelled to the Midwest visiting family in [redacted] Additionally, [redacted] had planned trips to [redacted]

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[redacted] stated that he was aware that [redacted] had acted in a consultant capacity with SVB. He said that she is [redacted] said that he is not aware of any illegalities, past or ongoing, at SVB. This included actions on the part of [redacted]

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Due to a previously arranged engagement, [redacted] terminated the interview and left the room.

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Sometime later that day, the interviewing agents recontacted [redacted] in his office. He was asked when he had last had a conversation or contact with [redacted] advised that just prior to the first interview conducted by the agents, he had spoken to [redacted] on the telephone. He emphatically denied that he and [redacted] discussed anything having to do with [redacted] and SVB. Instead he said that their conversation

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revolved around tickets to a sporting event. After telling the agents that he had not intended to mislead them in any way, [redacted] stated that he was being honest and truthful in his words during the interviews. At this point, the second interview was terminated.

Memorandum

To : SAC, SAN FRANCISCO (29B-SF-111342) Date 9/9/94
ATTN: SA [redacted]

From : BUTTE INFORMATION TECHNOLOGY CENTER (ITC) -
INVESTIGATIVE INFORMATION SERVICES CENTER (IISC)

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Subject: [redacted] - #53398
- #53399

Attached are copies of printouts setting forth results of inquiries conducted by the IISC. Also attached is a copy of an accomplishment/reply form. It is requested that you record the IISC accomplishments on this form and return it to the IISC. Please maintain an additional copy with the computer printouts as a serial in your case file.

Set forth below is a brief synopsis of results of inquiries.

No bankruptcy, liens, loan defauls or SEC filings located. Possible business license, property information and civil information located.

Should additional contact be necessary, this request was processed by IISC Analyst [redacted] who can be reached at FTS:700-585-2391/Commercial:406-782-2304.

[redacted]
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2 - San Francisco (Enc. 2)
Attn: 29 Special Agent Supervisor
Note: Copy forwarded to Case Agent via fax on 9/9/94.
1 - Butte ITC

[redacted]
(3)

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SEARCHED [redacted] INDEXED
SERIALIZED [redacted]
OCT 3 1994
FBI — SAN FRAN [redacted]

29B-SF-111342-16

FD-809 (7-24-92)

FBI, Butte Information Technology Center (BITC)

PUBLIC INFORMATION REQUEST FORM

400 North Main Street, Room 115
Butte, Montana 59701
Commercial Telephone: (406) 782-2304, Exts. 44 thru 48
FTS: 700-585-2391 • FAX: (406) 782-9504 & (406) 782-9507
Secure FAX & STU III: (406) 782-2304, Ext. 26
Off-Hour Duty Analyst Pager: (406) 496-8736

Butte ITC Use Only:
Record #: 53398
Date/Time In: 9/7 16:15
Date/Time Out: 9/9 15:40
Database(s) Used:
1. FI 4.
2. ACS 5.
3. NCIC 6.
Handled By:
Request/Response Type:

TO: FBI, BUTTE INFORMATION TECHNOLOGY CENTER

Date: 8-23-94 Drug Related: Yes ☐ No ☒

Requestor: SA [redacted] Phone #: 408-998-5633 Office: SF UCFN: 288-SF-111342 b6 b7C

SEARCH CRITERIA

Name - Last: [redacted] First: [redacted] Middle: [redacted]

Alias: Sex: DOB1: [redacted] DOB2: / / SSAN:

Residence - Street Address: [redacted] City/State: [redacted] Zip: [redacted] Phone:

Business - Street Address: City/State: Zip: Phone:

Business ID#:

CHECK DESIRED SEARCH PARAMETERS (Please check only those that are needed.):

- ☐ 1. Locate Business/Person (_____ U.S. _____ State)
- ☐ 2. Determine All Individuals Associated with Social Security Number
- ☐ 3. Determine Who is Associated with Telephone Number(s)
- ☐ 4. Determine Address of Business/Person (_____ U.S. _____ State)
- ☐ 5. Determine Property Owned by Individual (_____ U.S. _____ State)
- ☐ 6. Determine Who Owns Property Listed Above
- ☐ 7. Determine Who Resides at Address Listed Above
- ☐ 8. Uniform Commercial Code (_____ U.S. _____ State)
- ☐ 9. Corporate/Limited Partnership Records (_____ U.S. _____ State)
- ☒ 10. Bankruptcy (_____ State - Areas of Availability are Limited)
- ☒ 11. Judgements (_____ State - Areas of Availability are Limited)
- ☒ 12. Lawsuits (_____ State - Areas of Availability are Limited)
- ☒ 13. Liens (_____ State - Areas of Availability are Limited)
- ☒ 14. Notice of Loan Default
- ☒ 15. Security & Exchange Commission Filings
- ☒ 16. [redacted] CREDIT

FBI, Butte Information Technology Center Reply

Return Reply To:
SAC, SAN FRANCISCO

Attention: SA [redacted] SJRA/2 b6 b7C

Based on search criteria, marked records are attached:
- ☒ Possible Identifiable Records
- ☐ Other Peripheral Information
- ☐ No Information Found

D-309 (7-24-92)

FBI, Butte Information Technology Center (BITC)

PUBLIC INFORMATION REQUEST FORM

400 North Main Street, Room 115
Butte, Montana 59701
Commercial Telephone: (406) 782-2304, Exts. 44 thru 48
FTS: 700-585-2391 • FAX: (406) 782-9504 & (406) 782-9507
Secure FAX & STU III: (406) 782-2304, Ext. 26
Off-Hour Duty Analyst Pager: (406) 496-8736

Butte ITC Use Only:
Record #: 53399
Date/Time In: 9/7 16:15
Date/Time Out: 9/9 10:40
Database(s) Used:
1. LN 4.
2. FA 5.
3. 6.
Handled By:
Request/Response Type:

TO: FBI, BUTTE INFORMATION TECHNOLOGY CENTER

Date: 8-23-94 Drug Related: Yes ☐ No ☒

Requestor: SA [redacted] Phone #: 408-998-5633 Office: SF UCFN: 29B-SF-111342 b6 b7C

SEARCH CRITERIA
Name - Last: [redacted] First: [redacted] Middle: [redacted]

Alias: [redacted] Sex: [redacted] DOB1: [redacted] DOB2: / / SSAN: [redacted]

Residence - Street Address: [redacted] City/State: [redacted] Zip: [redacted] Phone: [redacted]

Business - Street Address: [redacted] City/State: [redacted] Zip: [redacted] Phone: [redacted]

Business ID#: [redacted]

CHECK DESIRED SEARCH PARAMETERS (Please check only those that are needed.):

- ☐ 1. Locate Business/Person (_____ U.S. _____ State)
- ☐ 2. Determine All Individuals Associated with Social Security Number
- ☐ 3. Determine Who is Associated with Telephone Number(s)
- ☐ 4. Determine Address of Business/Person (_____ U.S. _____ State)
- ☐ 5. Determine Property Owned by Individual (_____ U.S. _____ State)
- ☐ 6. Determine Who Owns Property Listed Above
- ☐ 7. Determine Who Resides at Address Listed Above
- ☐ 8. Uniform Commercial Code (_____ U.S. _____ State)
- ☐ 9. Corporate/Limited Partnership Records (_____ U.S. _____ State)
- ☒ 10. Bankruptcy (_____ State - Areas of Availability are Limited)
- ☒ 11. Judgements (_____ State - Areas of Availability are Limited)
- ☐ 12. Lawsuits (_____ State - Areas of Availability are Limited)
- ☒ 13. Liens (_____ State - Areas of Availability are Limited)
- ☒ 14. Notice of Loan Default
- ☒ 15. Security & Exchange Commission Filings
- ☒ 16. ~~Commercial~~ CREDIT

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- ☐ Other Peripheral Information
- ☐ No Information Found

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Page 113 ~ Referral/Direct;
Page 114 ~ Referral/Direct;
Page 117 ~ b6; b7C;
Page 118 ~ b6; b7C;
Page 119 ~ b6; b7C;
Page 120 ~ b6; b7C;
Page 121 ~ b6; b7C;
Page 122 ~ b6; b7C;
Page 123 ~ Duplicate;
Page 125 ~ b6; b7C;
Page 126 ~ b6; b7C;
Page 127 ~ b6; b7C;
Page 128 ~ b6; b7C;
Page 129 ~ b6; b7C;
Page 130 ~ b6; b7C;
Page 131 ~ b6; b7C;
Page 132 ~ b6; b7C;
Page 133 ~ b6; b7C;
Page 134 ~ Referral/Direct;
Page 135 ~ Referral/Direct;
Page 136 ~ Referral/Direct;
Page 137 ~ Referral/Direct;
Page 138 ~ Referral/Direct;
Page 139 ~ Referral/Direct;
Page 140 ~ Referral/Direct;
Page 141 ~ Referral/Direct;
Page 142 ~ Referral/Direct;
Page 143 ~ Referral/Direct;
Page 144 ~ Referral/Direct;
Page 145 ~ Referral/Direct;
Page 146 ~ Referral/Direct;
Page 148 ~ b3; b6; b7C;
Page 149 ~ b3; b6; b7C;
Page 150 ~ b3; b6; b7C;
Page 151 ~ b3;
Page 152 ~ b3; b6; b7C;
Page 153 ~ b3; b6; b7C;

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